A person in silhouette is running on a beach at sunset. The person is in the foreground, leaning forward with their right leg extended back. The background shows the ocean with gentle waves and a sky with a gradient of orange, red, and blue. The overall mood is dynamic and contemplative.

*“Nature is pleased with simplicity.
And nature is no dummy.”*

– Isaac Newton

Module II: Computations in the Physical World, Lecture II.a

Chi-Ning Chou @ 2022 January Mini-Course “What is Computation? From Turing Machines to Black Holes and Neurons”

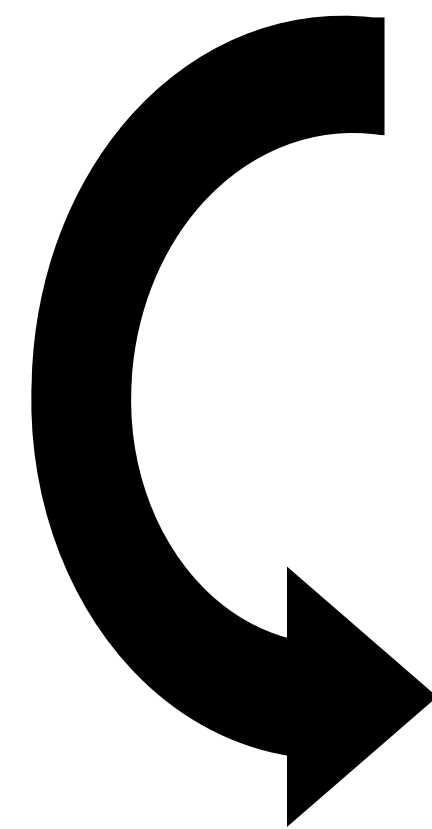
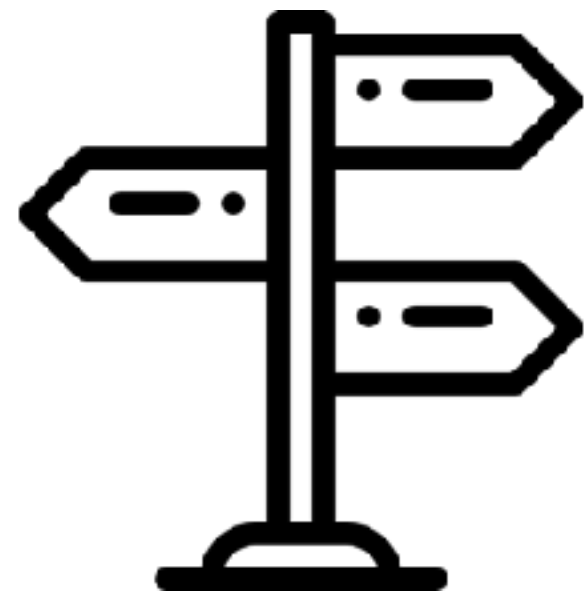
After the Falling Apple: Classical and Statistical Mechanics

Module II: Computations in the Physical World

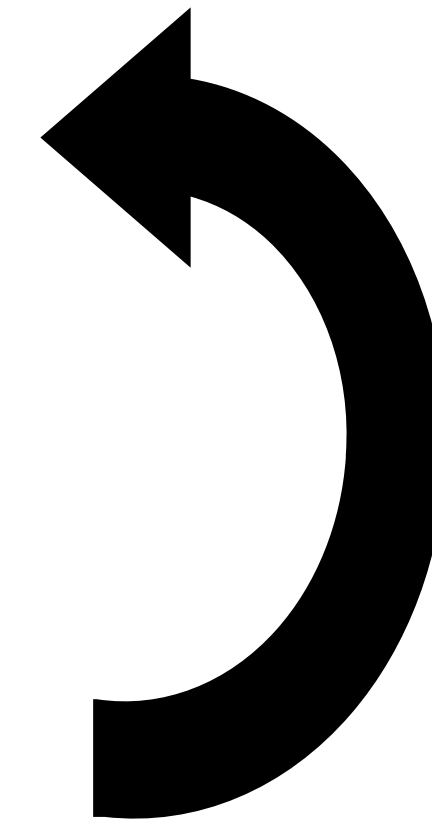
“Nature is pleased with simplicity. And nature is no dummy.”

– Isaac Newton

Guidance



Physics & Computation

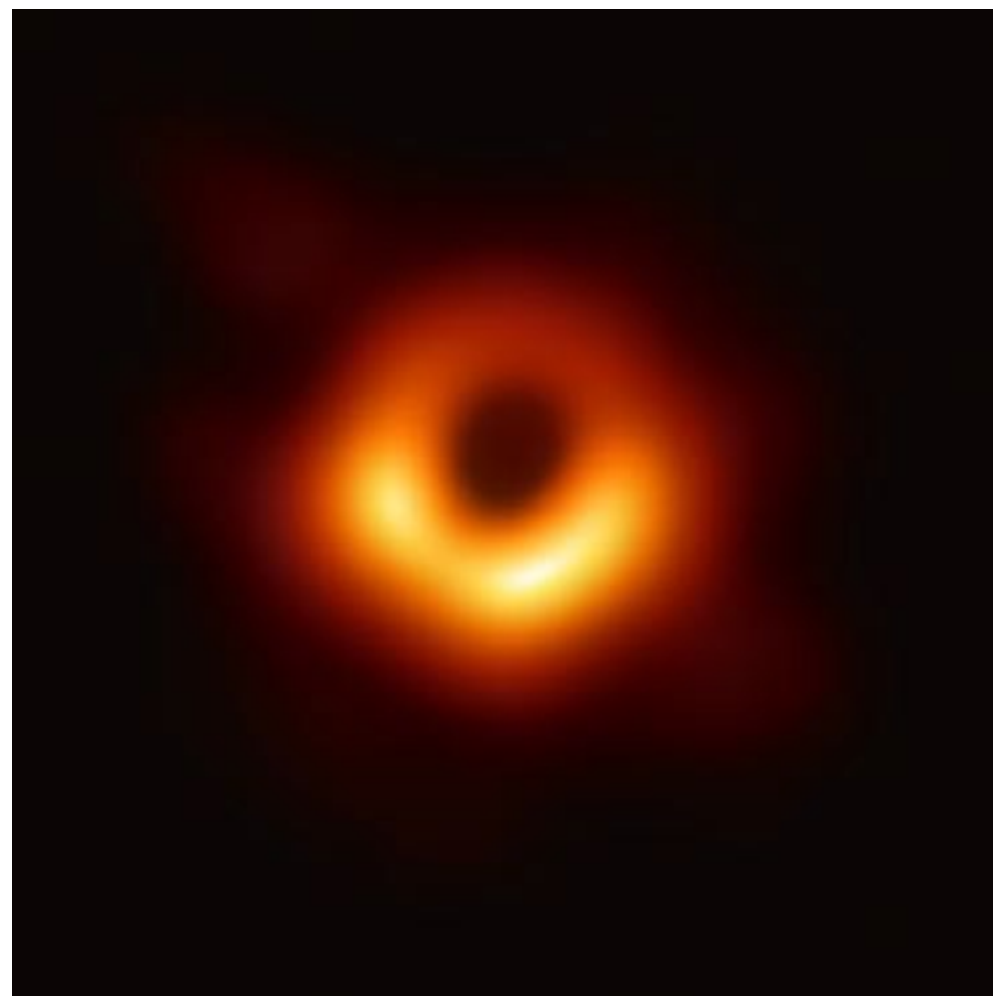


Tools



Why Physics?

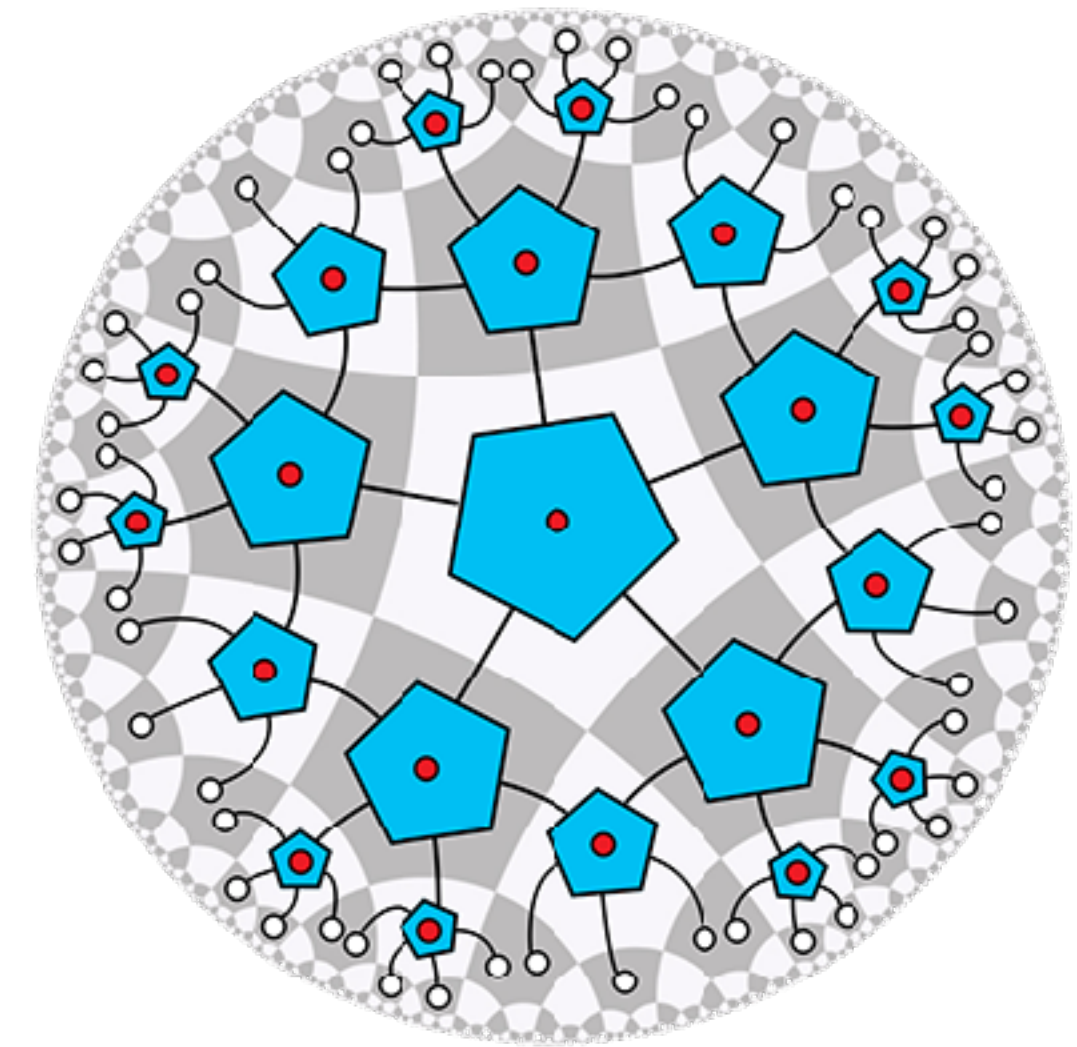
Module II: Computations in the physical world



Constraints & Structures



Abstraction & Symmetry



I encourage you to focus on the different **world views** and **postulates** physicists used for studying reality!

What is Physics and Why Care?

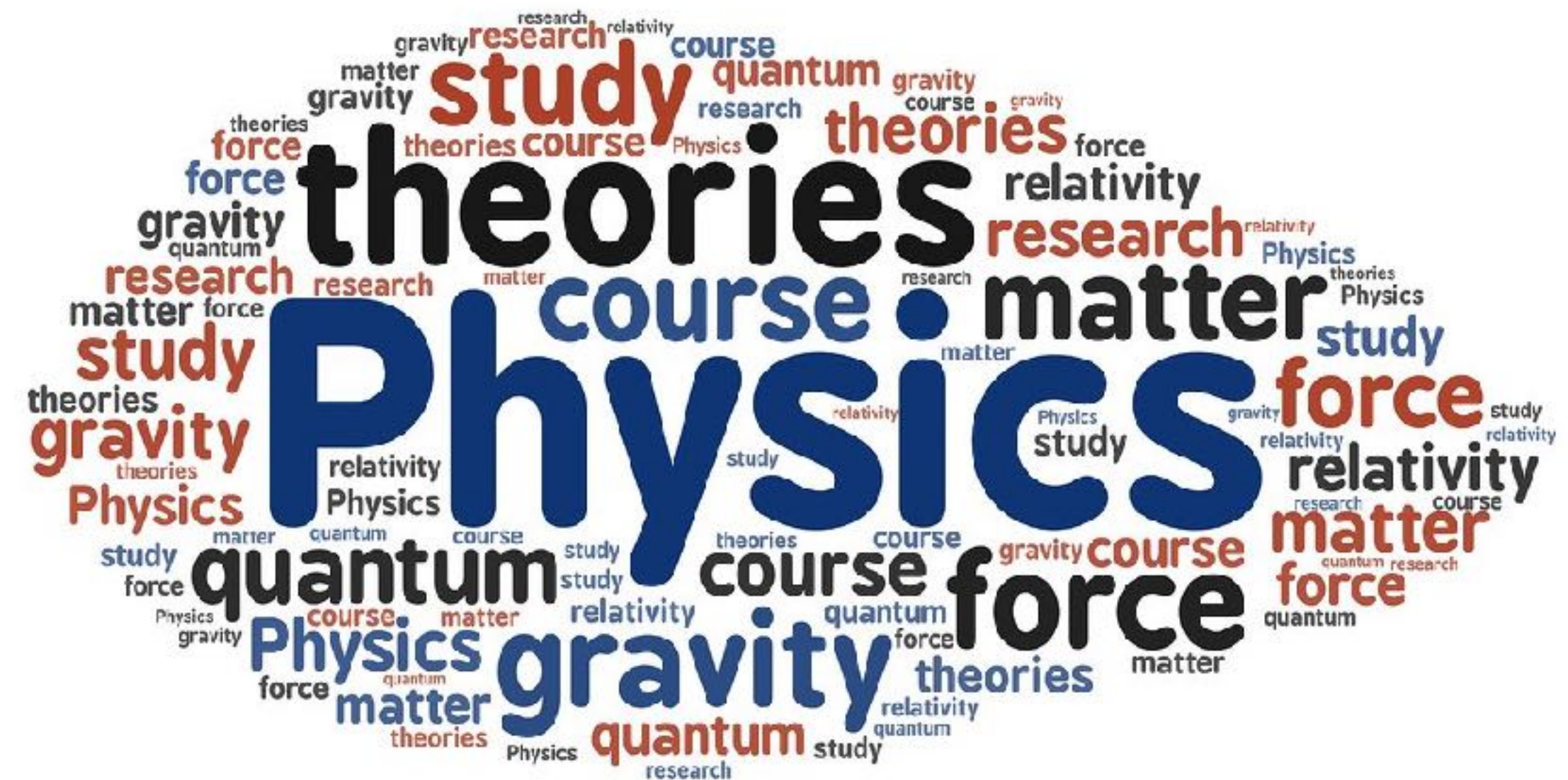
“Physics is the natural science that studies matter, its fundamental constituents, its motion and behavior through space and time, and the related entities of energy and force.”

– Wikipedia

- Providing hardwares and methods to perform and implement computations.
- Physical laws themselves are doing certain computations.

Q: What are the computations in the physical world?

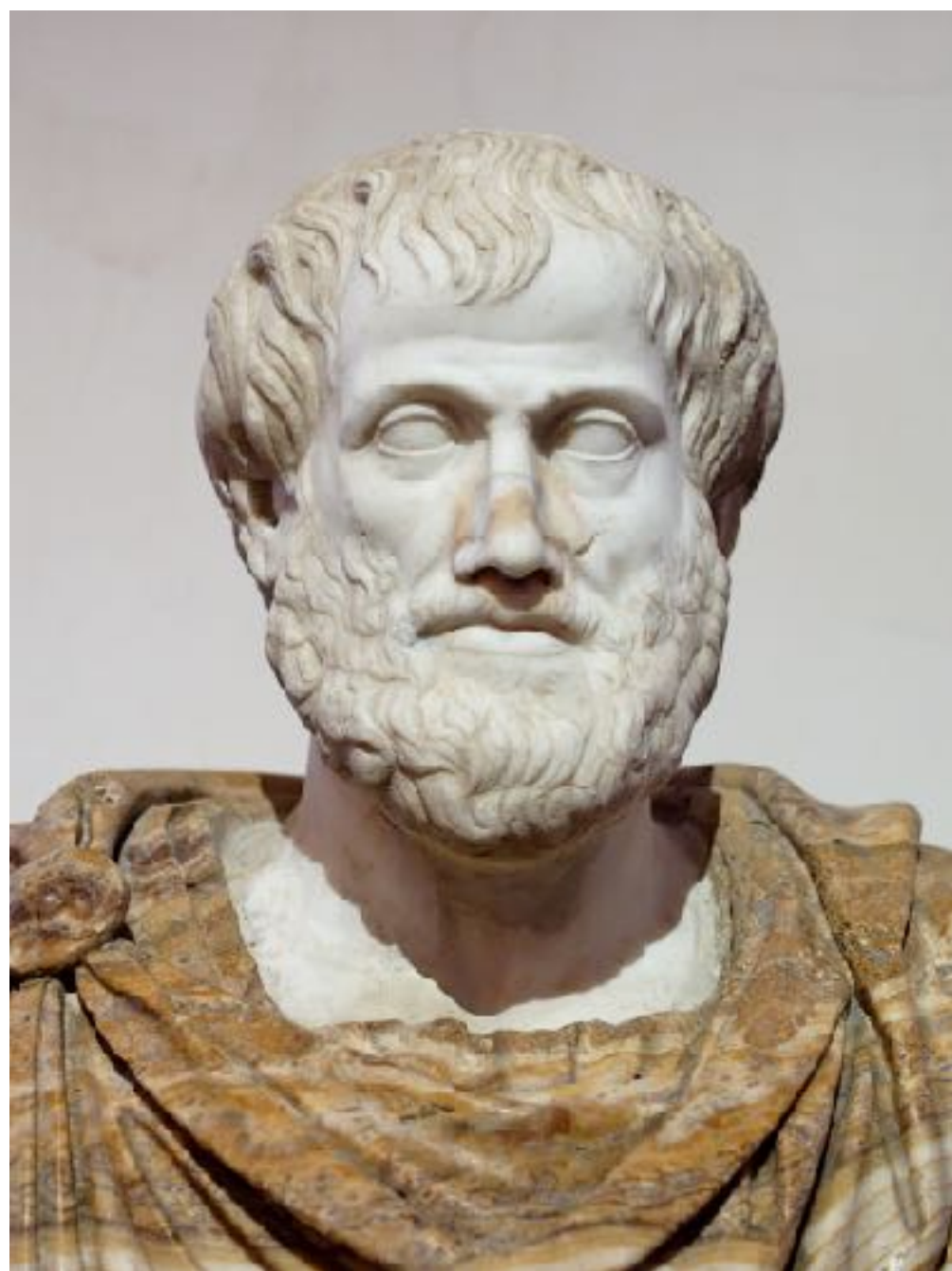
Q: Physics as constraints or guidances?



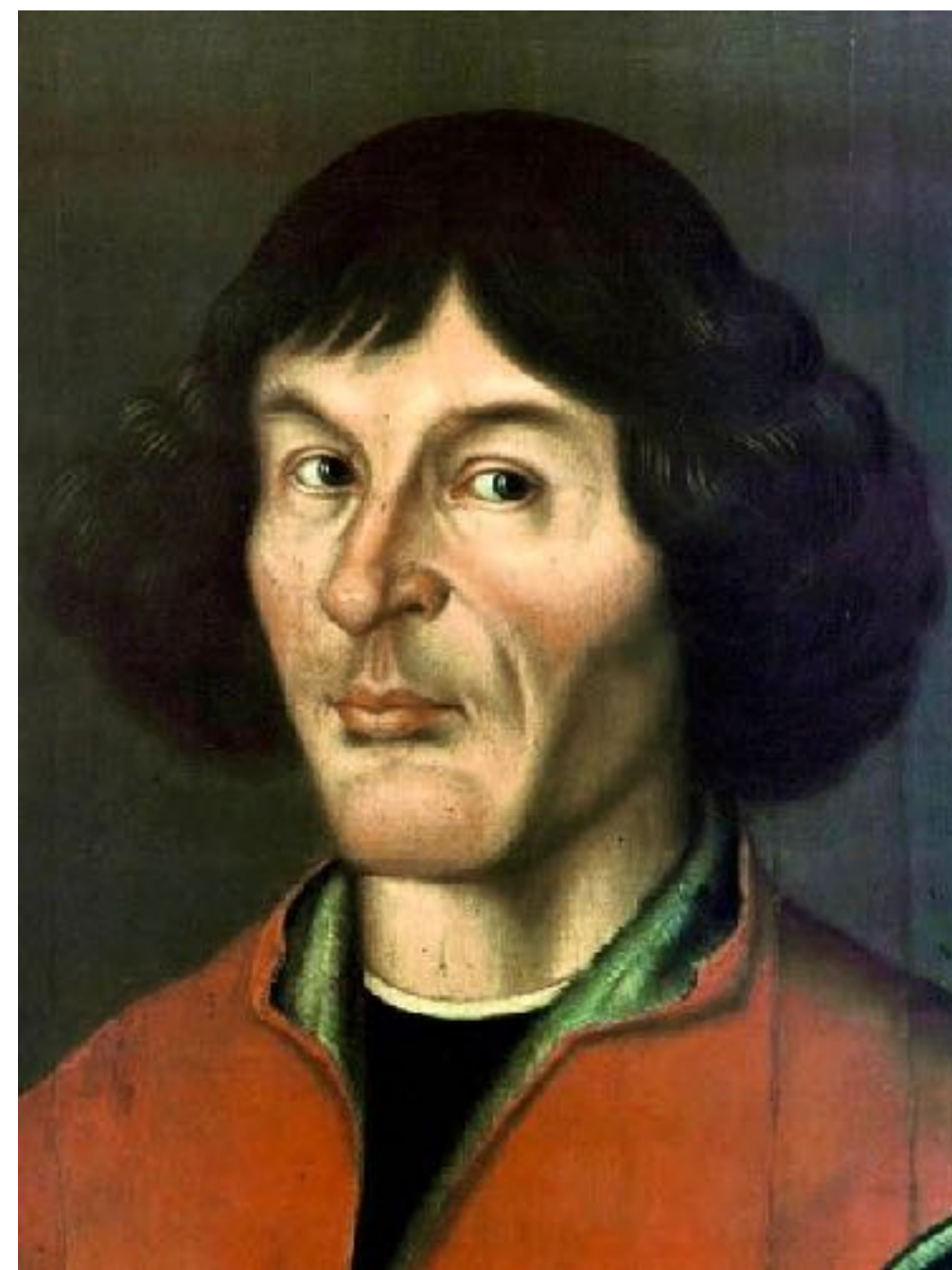
Pre-Newtonian Era

“If I have seen further, it is by standing on the shoulders of giants.”

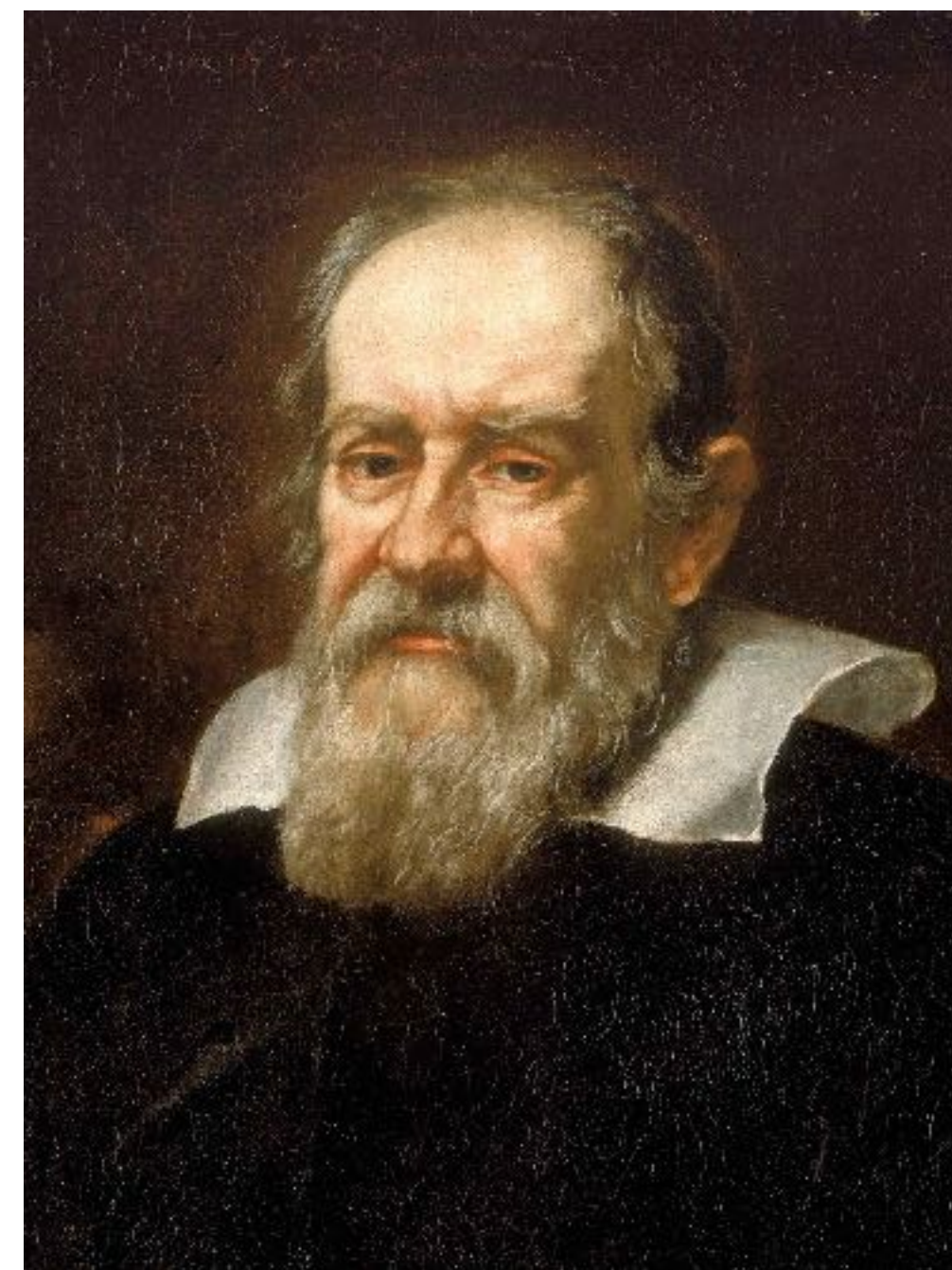
– Isaac Newton



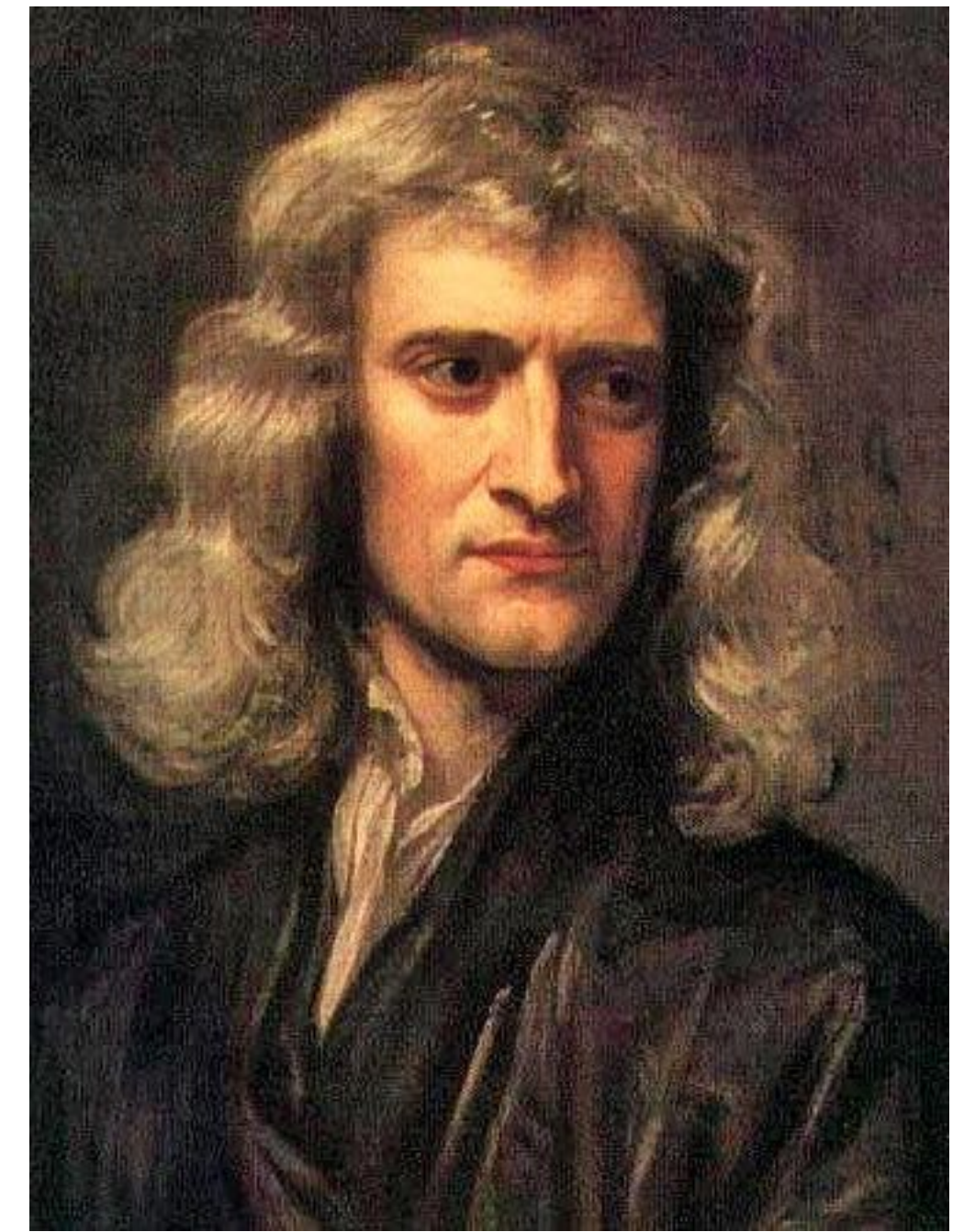
Aristotle 384BC-322BC



Copernicus 1473-1543



Galileo 1564-1642



Newton 1642-1727

Q: How did Newton differ from his ancestors?

Newton's Laws

Axiomatization & Unification for the physical world

Law 1: The law of inertia

Law 2: $F = ma$.

Law 3: Action = Reaction.



A deterministic and mechanical model!

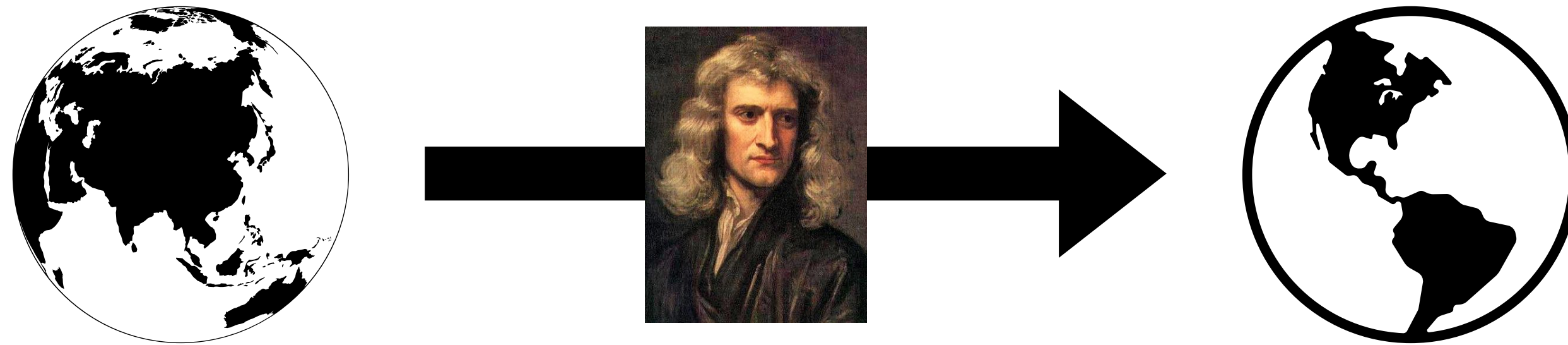
PHILOSOPHIÆ
NATURALIS
PRINCIPIA
MATHEMATICA.

Autore J. S. NEWTON, Trin. Coll. Cantab. Soc. Matheseos
Professore Lucasiano, & Societatis Regalis Sodali.

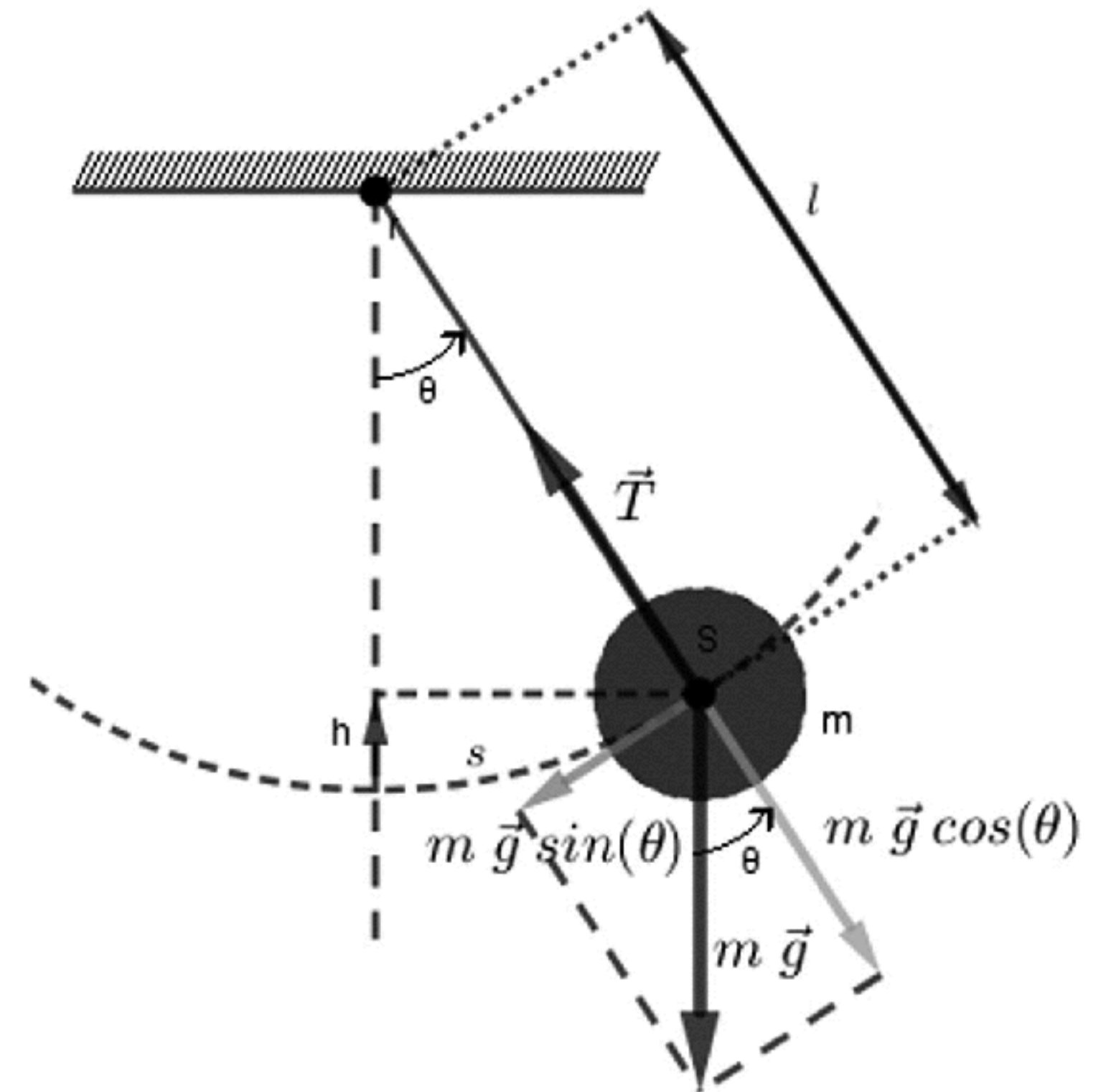
IMPRIMATUR.
S. PEPYS, Reg. Soc. PRÆSES.
Julii 5. 1686.

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Jussu Societatis Regiæ ac Typis Josephi Streater. Prostat apud
plures Bibliopolas. Anno MDCLXXXVII.

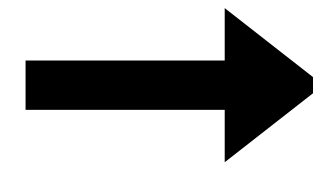
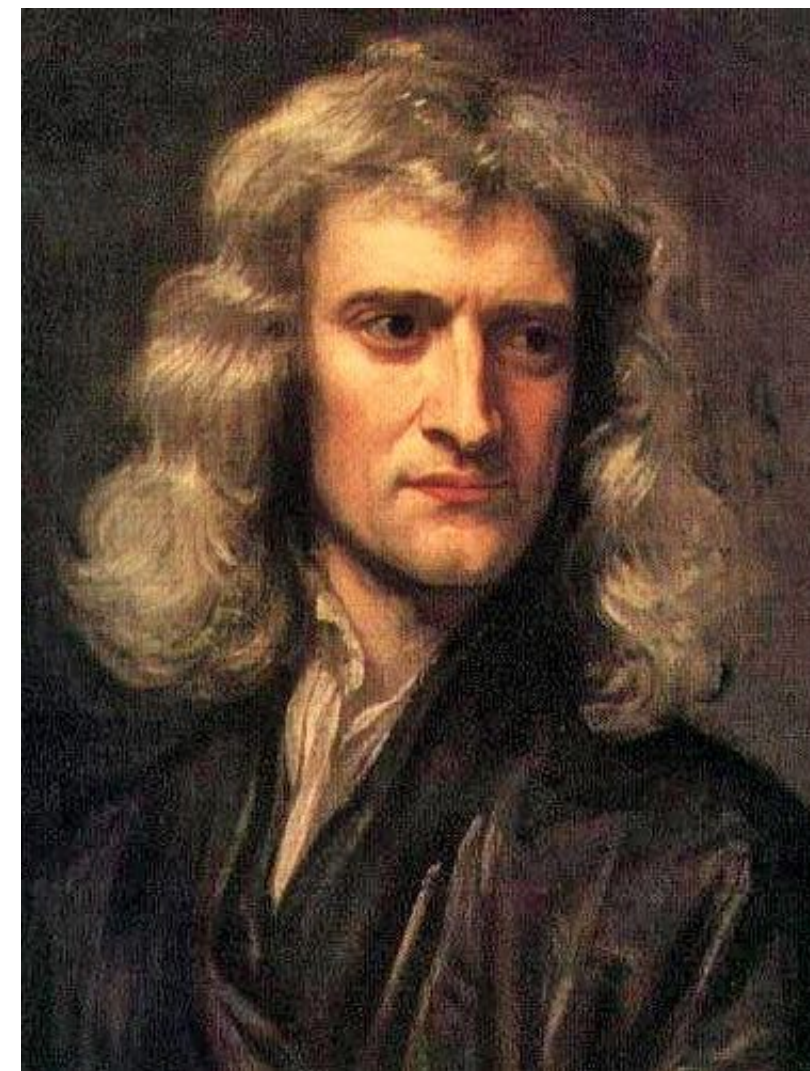
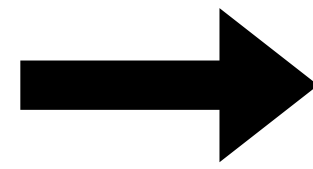
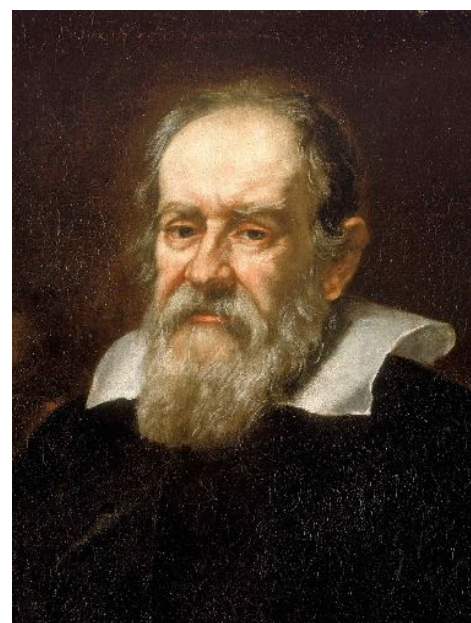
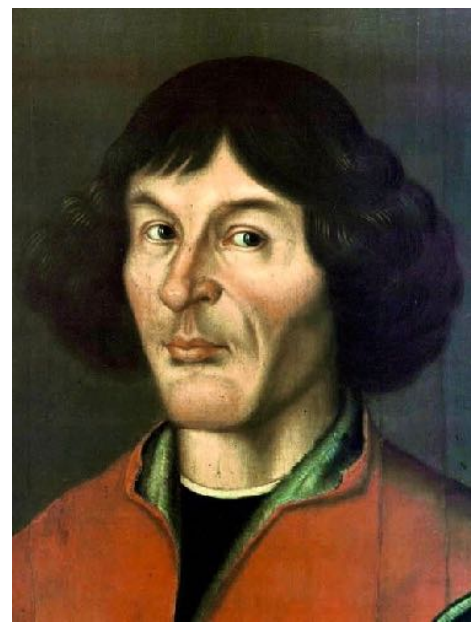
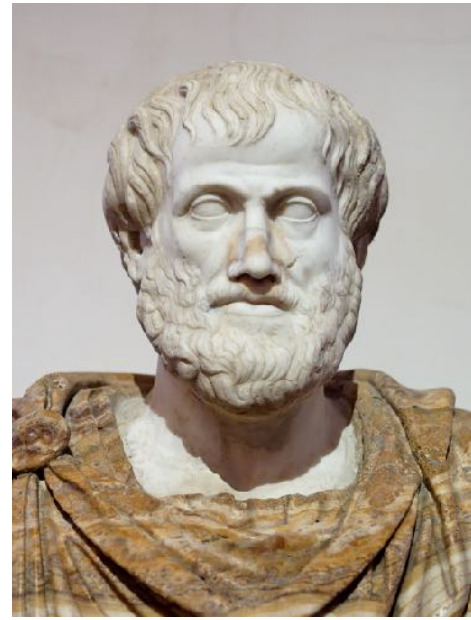
Computational Aspects of Newton's Laws



- Newton's laws provide a computational model for the physical world.
- What's the underlying computation/algorithmic idea?
- Are Newton's laws the end of story?

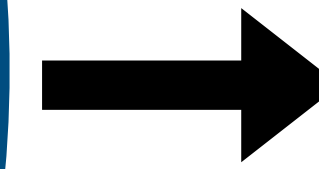


After the Falling Apple



**Classical
Mechanics**

**Statistical
Mechanics**



**Quantum
Mechanics**

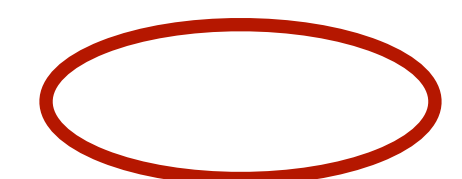
**Gravitational
Theory**

Q: What are the computation views?

Q: Computational/Algorithmic insights?



This lecture



Next lecture

Classical Mechanics and Computation

“In classical physics, science started from the belief – or should one say, from the illusion? – that we could describe the world, or least parts of the world, without any reference to ourselves.”

– Werner Heisenberg

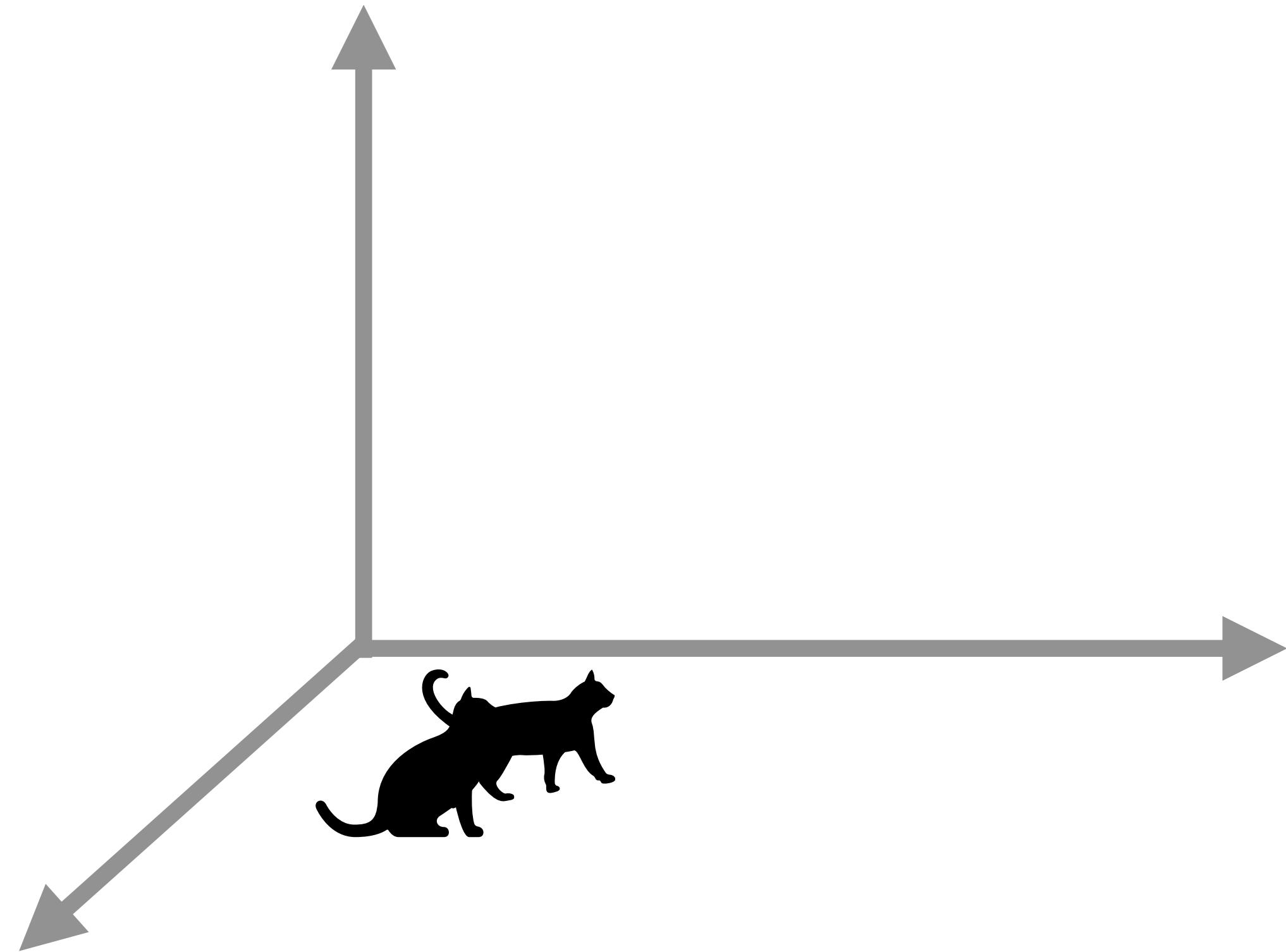
Newton's Mechanics as Evolution in an Euclidean Space

Newton's Laws

Law 1: The law of inertia

Law 2: $F = ma$.

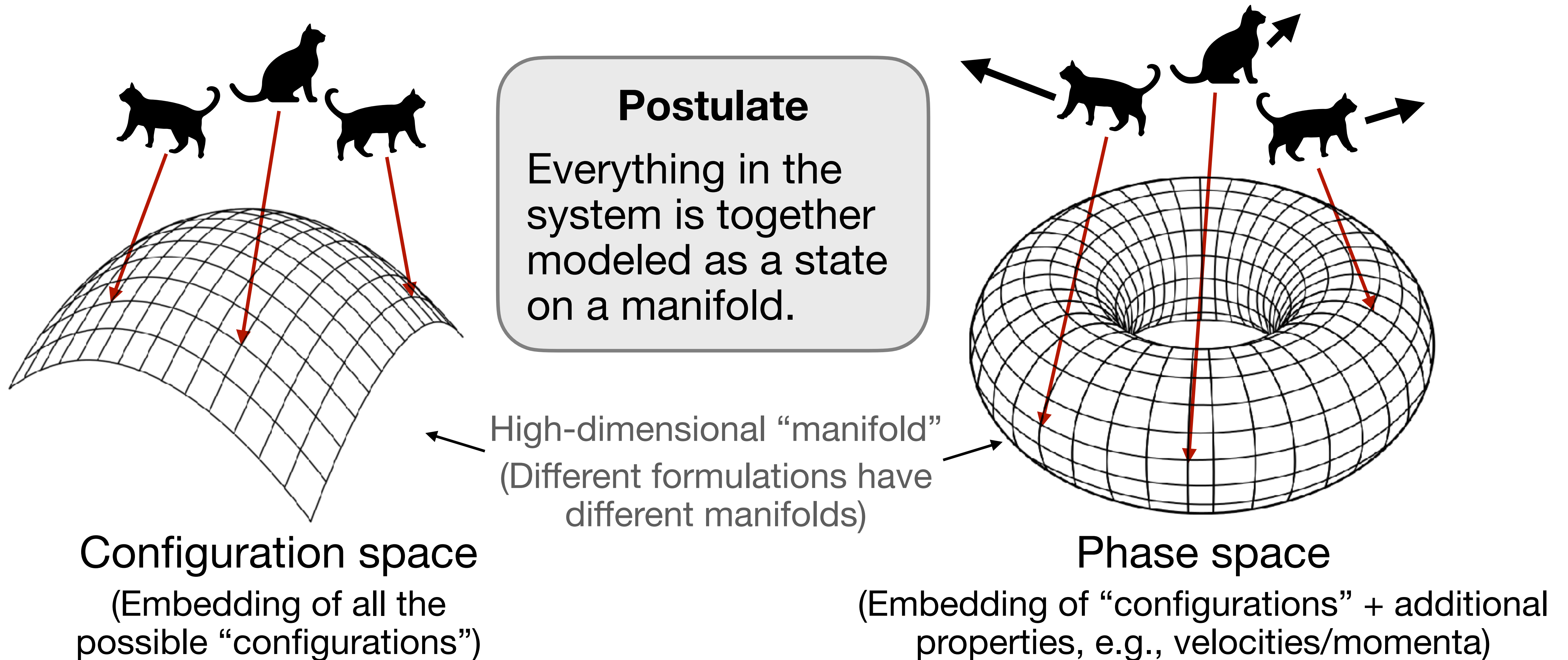
Law 3: Action = Reaction.



More structure and geometry in the physical world!?

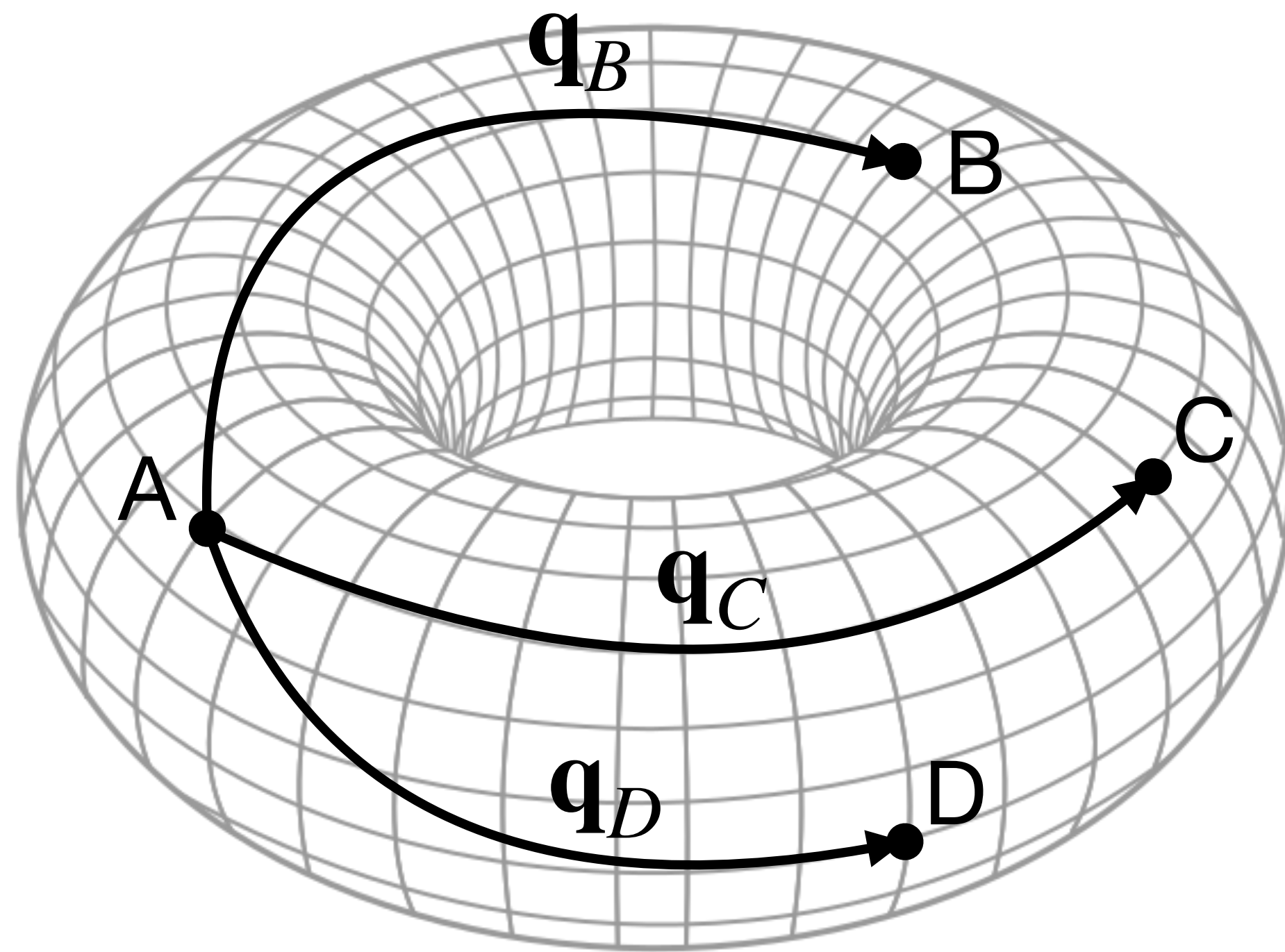
Configuration Space and Phase Space

A switch of world view from Newton's Euclidean space



Dynamics of System = Evolution in Phase Space

Which path to take in the phase space?



Q: Start from A, end at B,C, or D?

Principle of Stationary Action

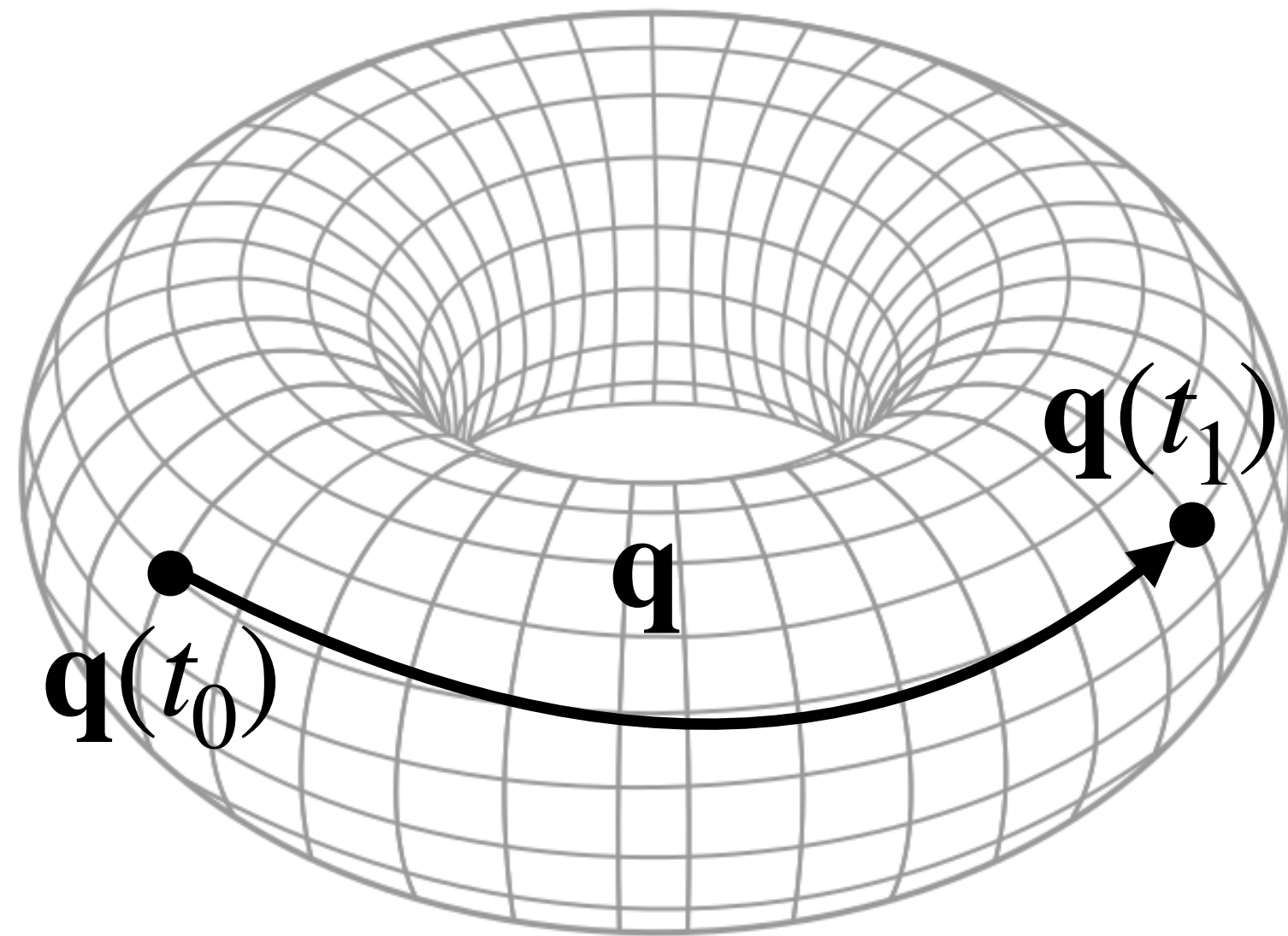
Informally, the trajectory of the state will be the one that costs the least “*energy*”.

Formally, the “*action*” of a trajectory \mathbf{q} of in the phase space is defined as

$$\mathcal{S}[\mathbf{q}] = \int_{t_0}^{t_1} L(\mathbf{q}(t), \dot{\mathbf{q}}(t), t) dt$$

where $L(\mathbf{q}(t), \dot{\mathbf{q}}(t), t)$ is the *Lagrangian* and $L = \text{kinetic energy} - \text{potential energy}$.

Classical Mechanics as Optimization



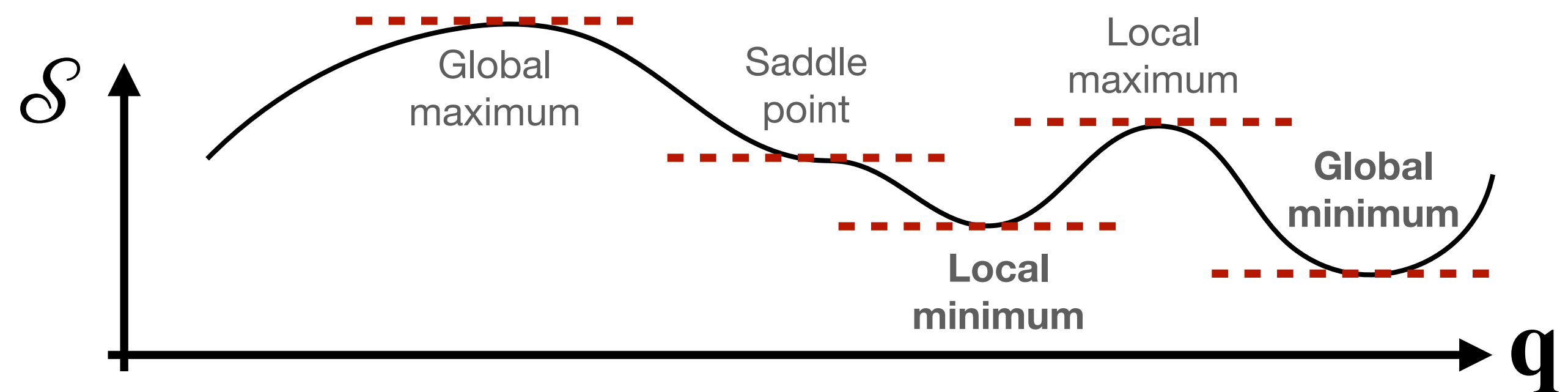
Principle of Stationary Action

The trajectory \mathbf{q} will be the one that minimizes

$$\mathcal{S}[\mathbf{q}] = \int_{t_0}^{t_1} L(\mathbf{q}(t), \dot{\mathbf{q}}(t), t) dt .$$

Principle of least action \Rightarrow

The physical reality locally minimizes the total action



Q: How to find the minimizer? **A:** Euler-Lagrange equations: $\frac{\partial L}{\partial \mathbf{q}} = \frac{d}{dt} \frac{\partial L}{\partial \dot{\mathbf{q}}}.$

Chaos and Unpredictability

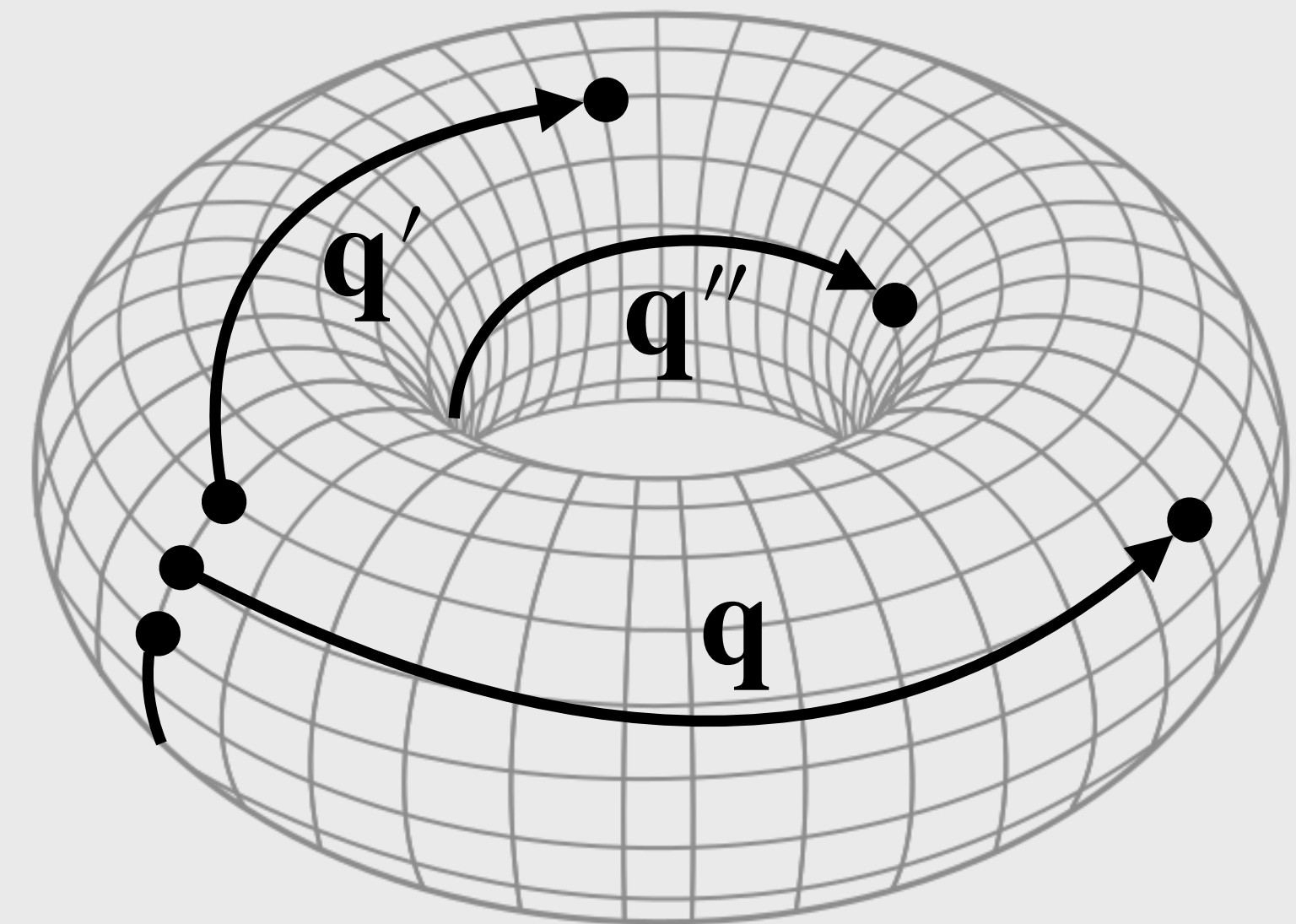
- Although the theory of classical mechanics gives extremely elegant models...
- The precision for measuring the initial configurations matter a lot!
- The dynamic is computable (given known initial configuration), but unpredictable (due to the imprecision in measurement)!

Q: When is the optimization view useful?

Q: How to handle chaotic cases?

Chaotic Phenomenon

A minor difference in the initial configuration can result in extremely different evolution.

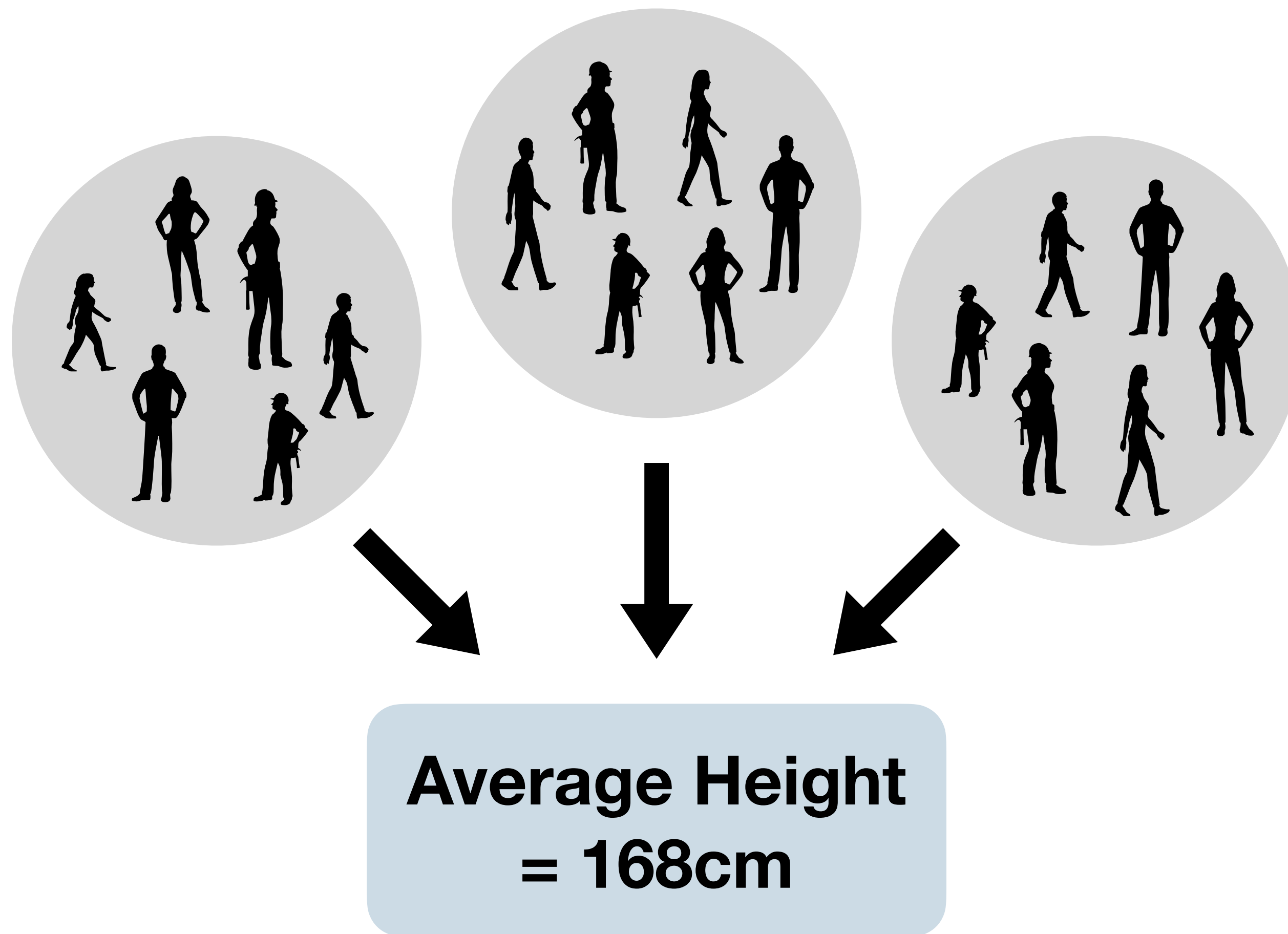


Statistical Mechanics and Computation

“Statistical mechanics is extremely easy and it’s extremely hard. It’s subtle. It’s full of surprises. It’s full of the applications of very simple formulas which then yield extremely surprising and powerful results.”

– Leonard Susskind

Microstate and Macrostate

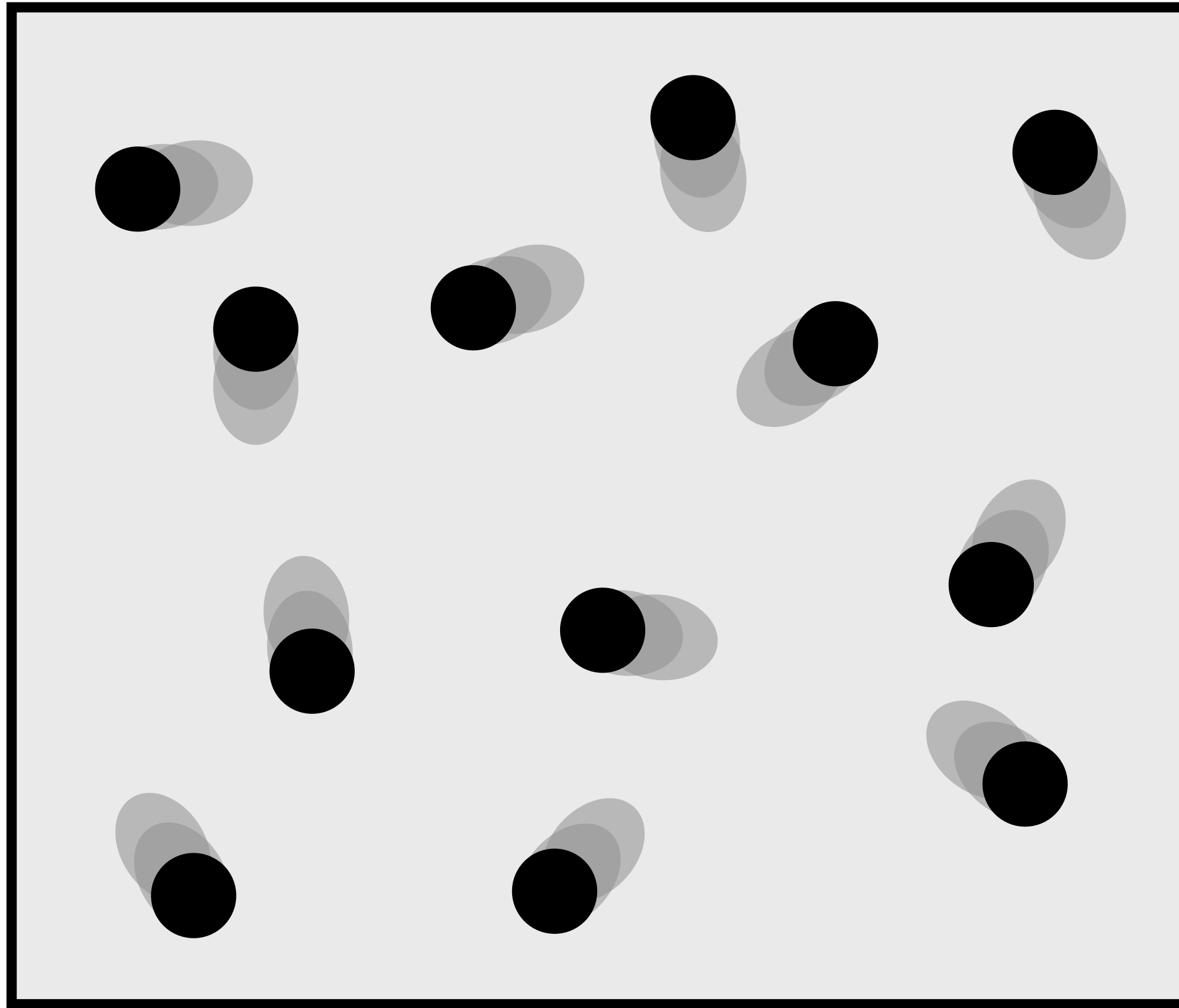


Microstate: A state in the configuration/phase space for all particles in the system.

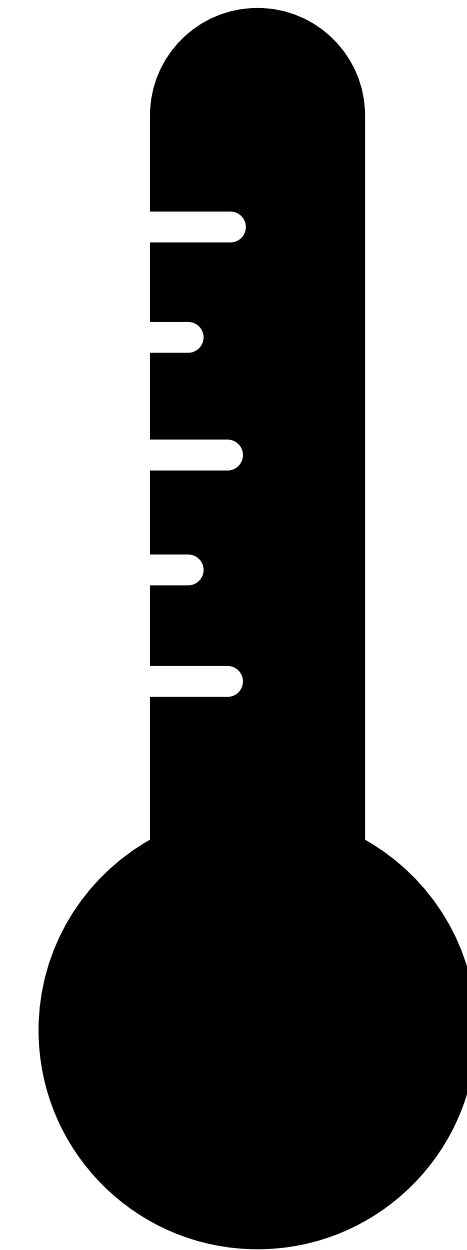
Macrostate: A statistical summary of a microstate, e.g., average speed, average energy.

- In practice, we can only measure the macrostate.
- A macrostate might correspond to multiple microstates!

Example: Thermodynamics

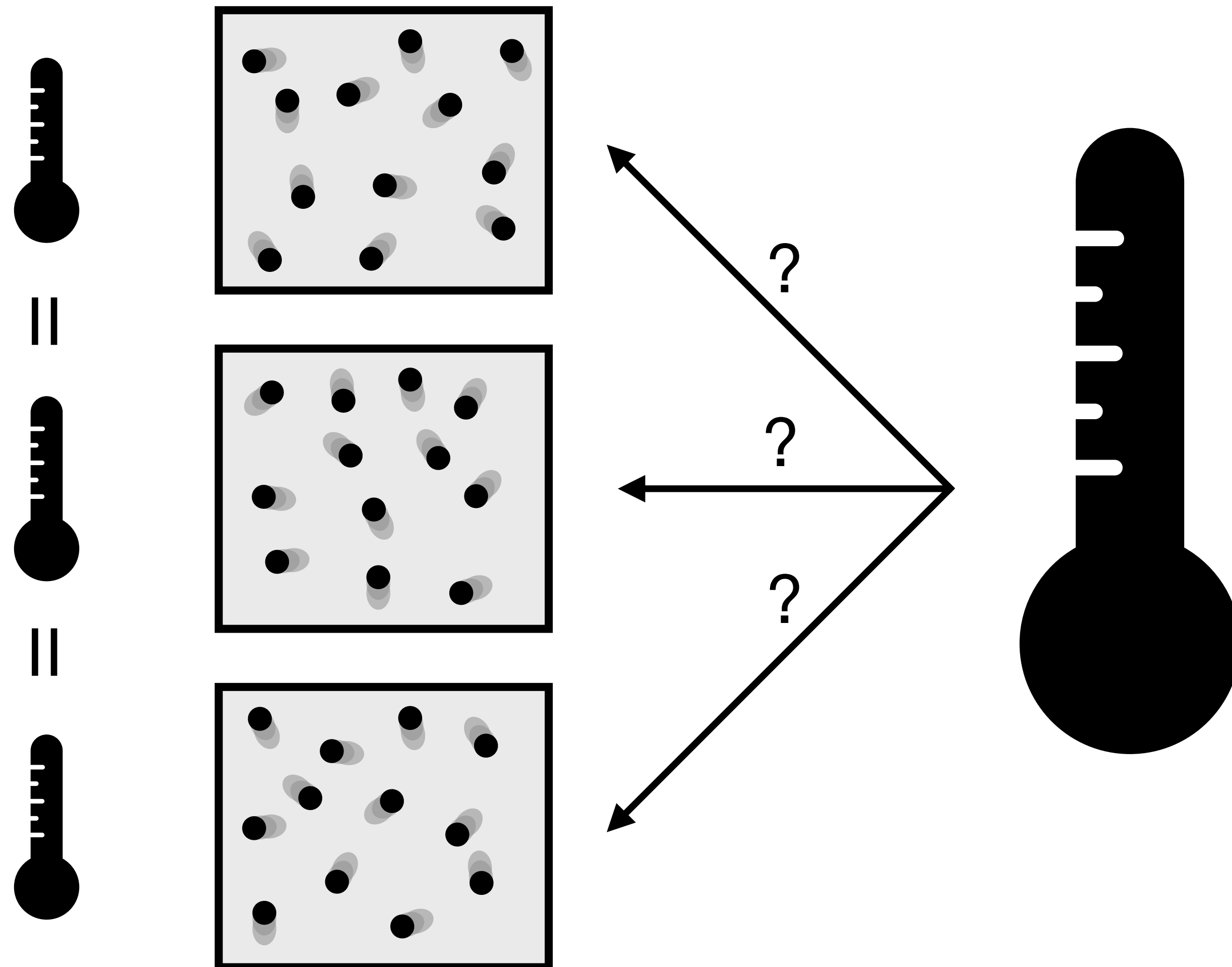


Microstate



Macrostate

A Priori Probability of a Microstate?



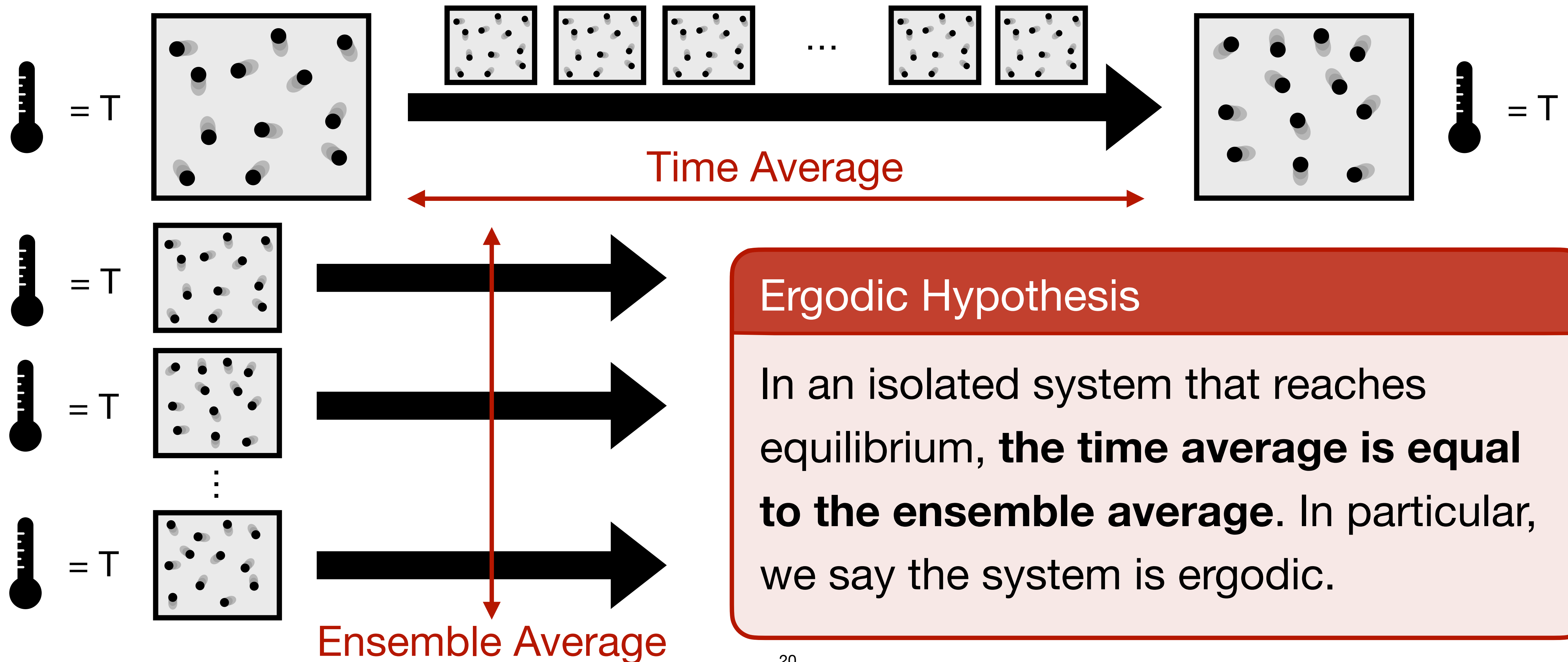
Q: Given a macrostate, what can we infer about the underlying microstate?

A: There's no definite answer, only "a priori probabilities".

Q: What do we mean by "probability" here?

How to Study Microstates from a Macrostate




Time average & Ensemble average



The Postulate of Equal *a Priori* Probabilities

In an isolated system that reaches equilibrium, given a fixed macrostate...

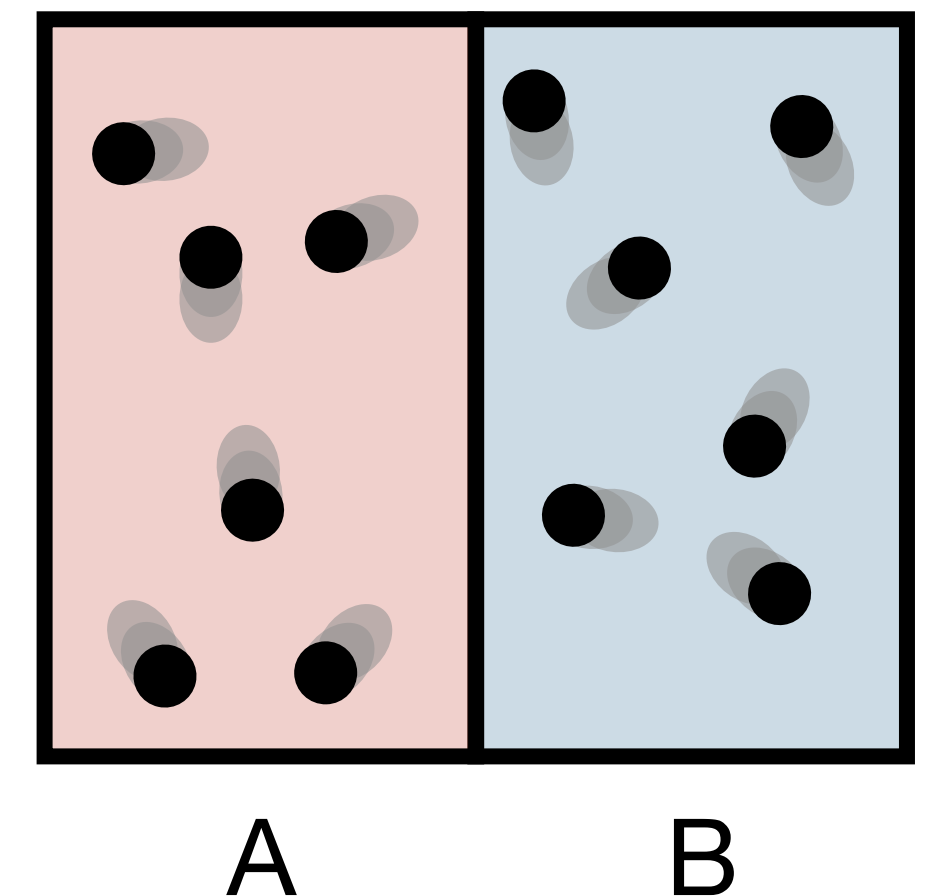
$$\text{Pr} \left[\begin{array}{|c|} \hline \text{[Diagram 1: 8 particles in a box]} \\ \hline \end{array} \right] = \text{Pr} \left[\begin{array}{|c|} \hline \text{[Diagram 2: 8 particles in a box]} \\ \hline \end{array} \right] = \dots = \text{Pr} \left[\begin{array}{|c|} \hline \text{[Diagram 3: 8 particles in a box]} \\ \hline \end{array} \right]$$

 = T  = T  = T

When looking at a subsystem, given a fixed macrostate...

Q: What's the distribution of a macrostate in subsystem A?

A: Boltzmann distribution!



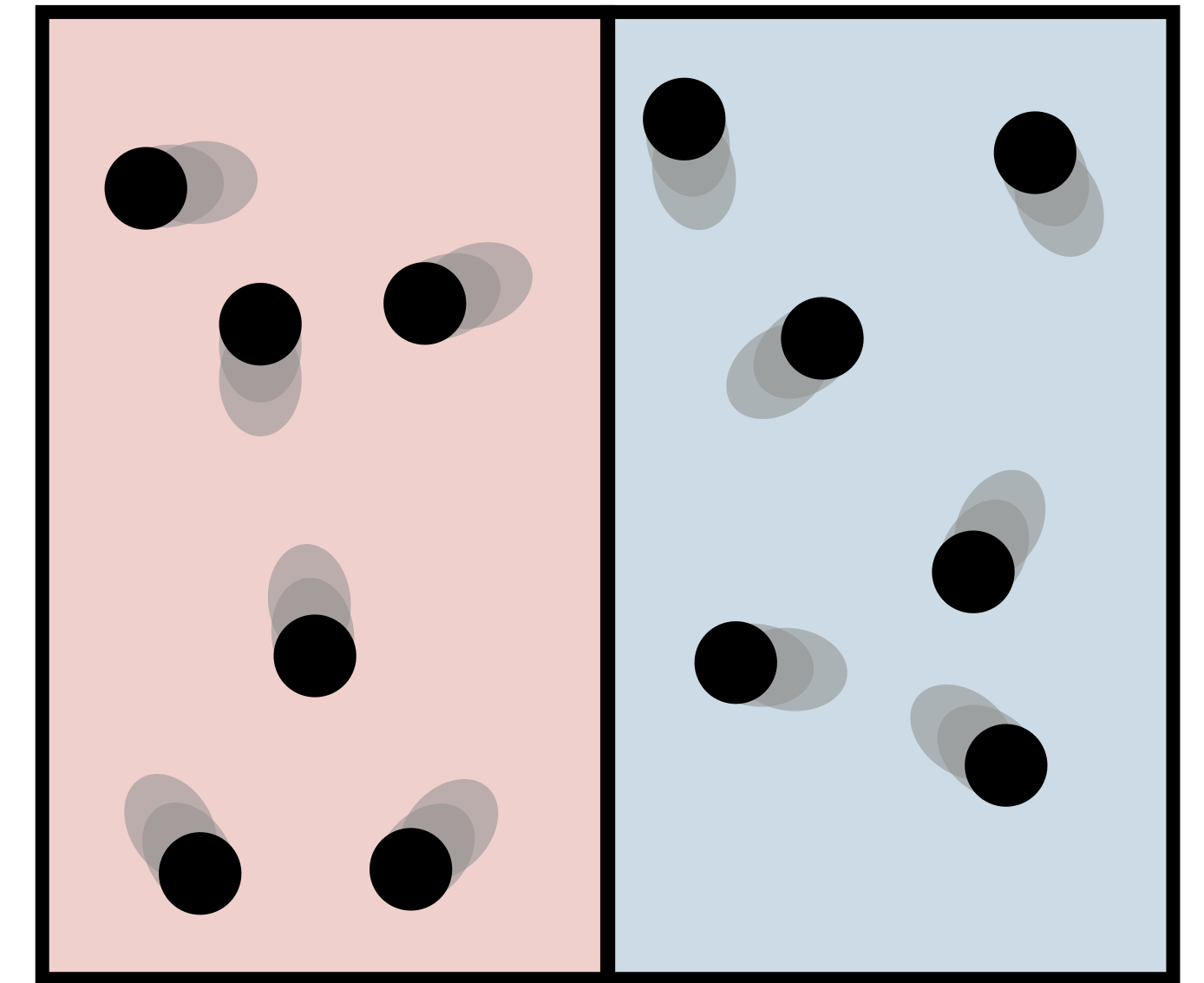
Boltzmann Distribution and Partition Function

Derived from the postulate of *a priori* probabilities
+ marginalize subsystem B.

$$\text{Pr}[\text{energy of A} = \textcolor{red}{E}] = \frac{\exp(-\textcolor{red}{E}/T)}{Z(T)}.$$

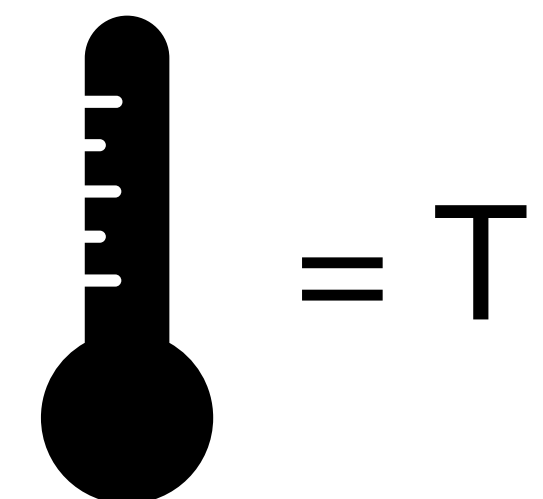
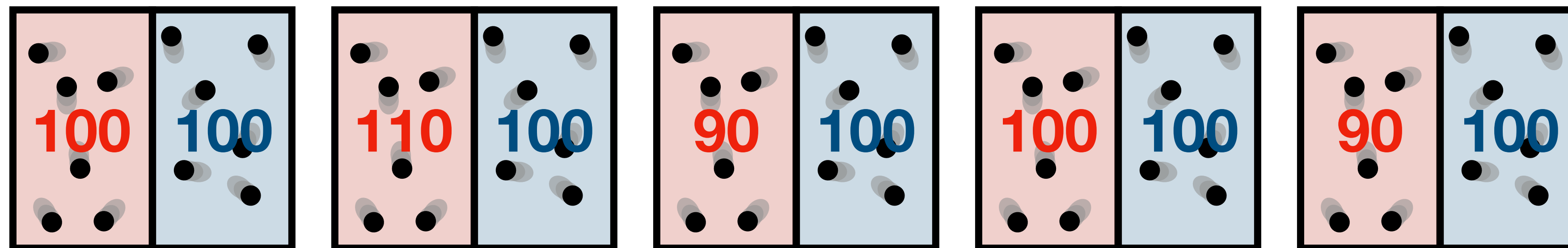
Concretely, this means a specific
microstate with energy E

Partition function, a normalizing constant which
contains lots of information about the whole system.



A

B



Statistical Mechanics as Computation

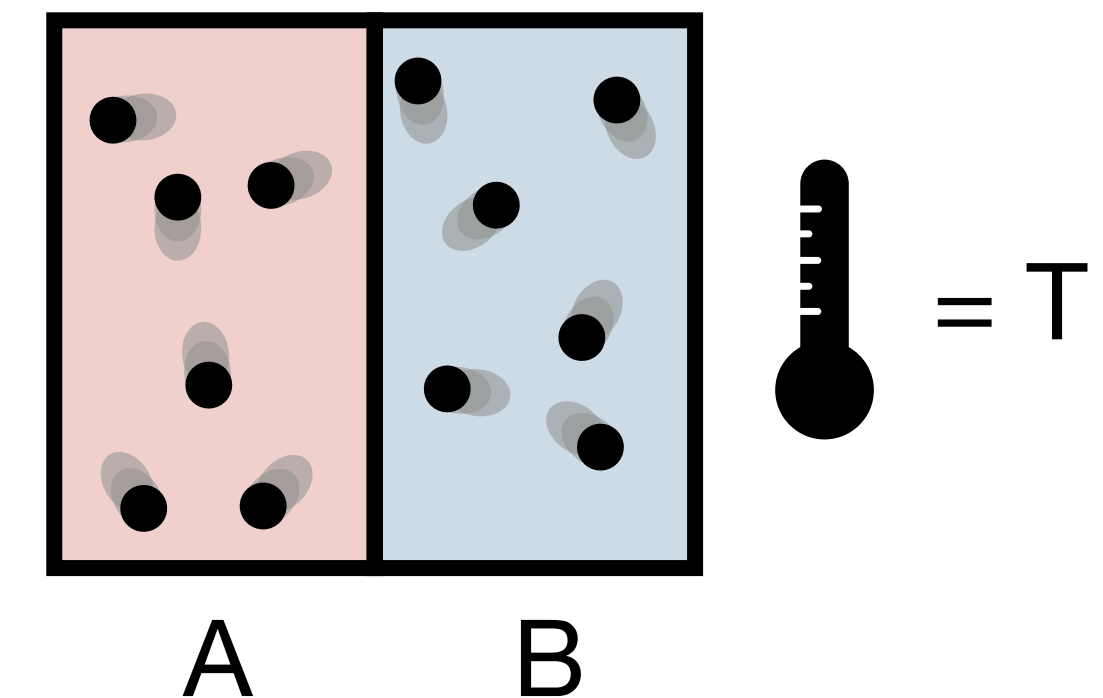
Optimization & Sampling & Counting



Simone
(Jan. 14
2pm-3pm ET)

“Simulated Annealing”

$$\Pr[\text{energy of } A = \mathbf{E}] = \frac{\exp(-\mathbf{E}/T)}{Z(T)}.$$



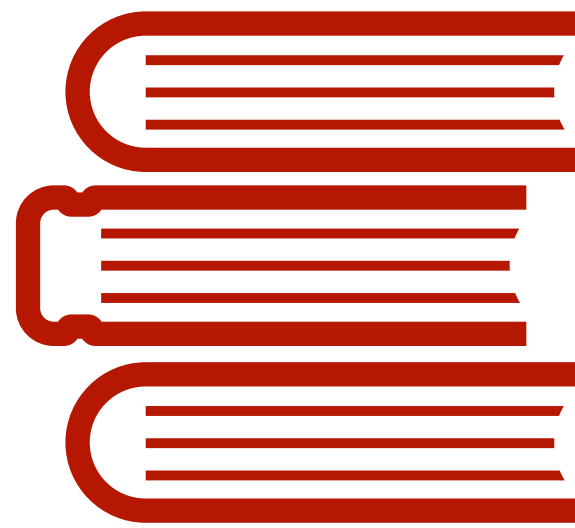
Optimization: Microstate with *lower* energy has higher probability!

Sampling: Start with a random microstate and lower the temperature.

Counting: The partition function $Z(T)$ encodes the number of microstates!

Jump Back to Turing Machine

Can Turing Machine Simulate Classical Mechanics?



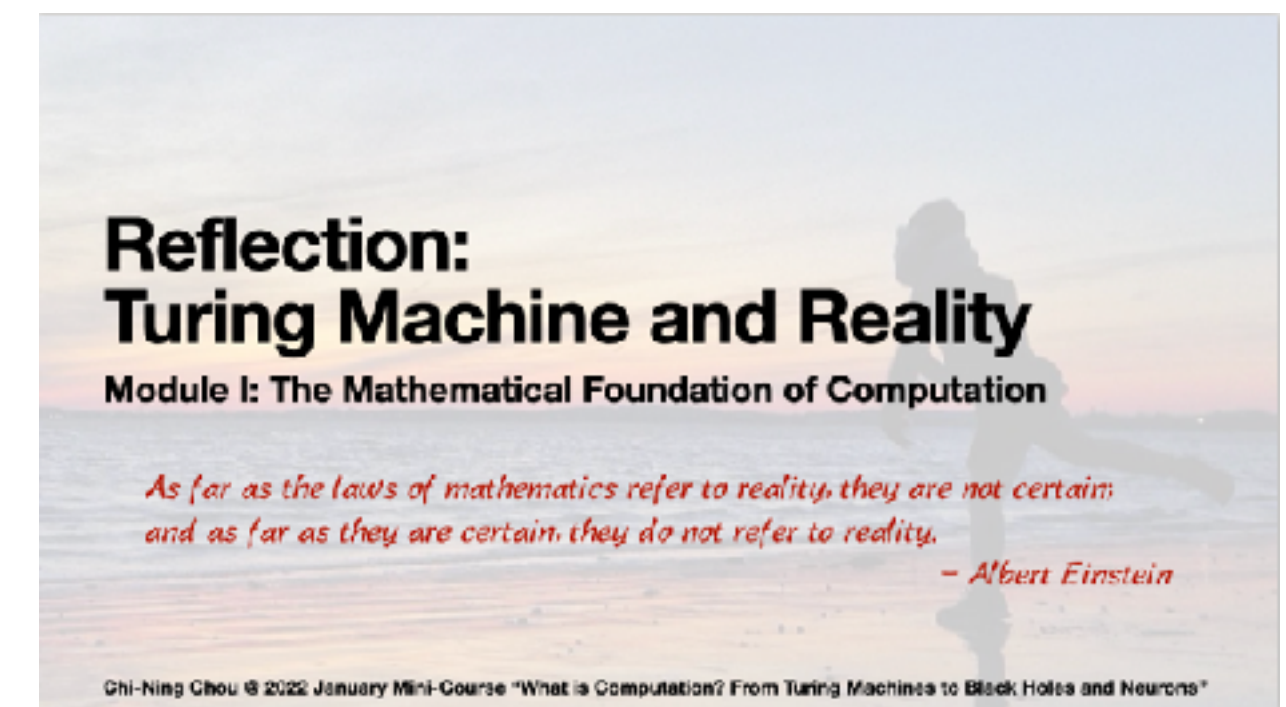
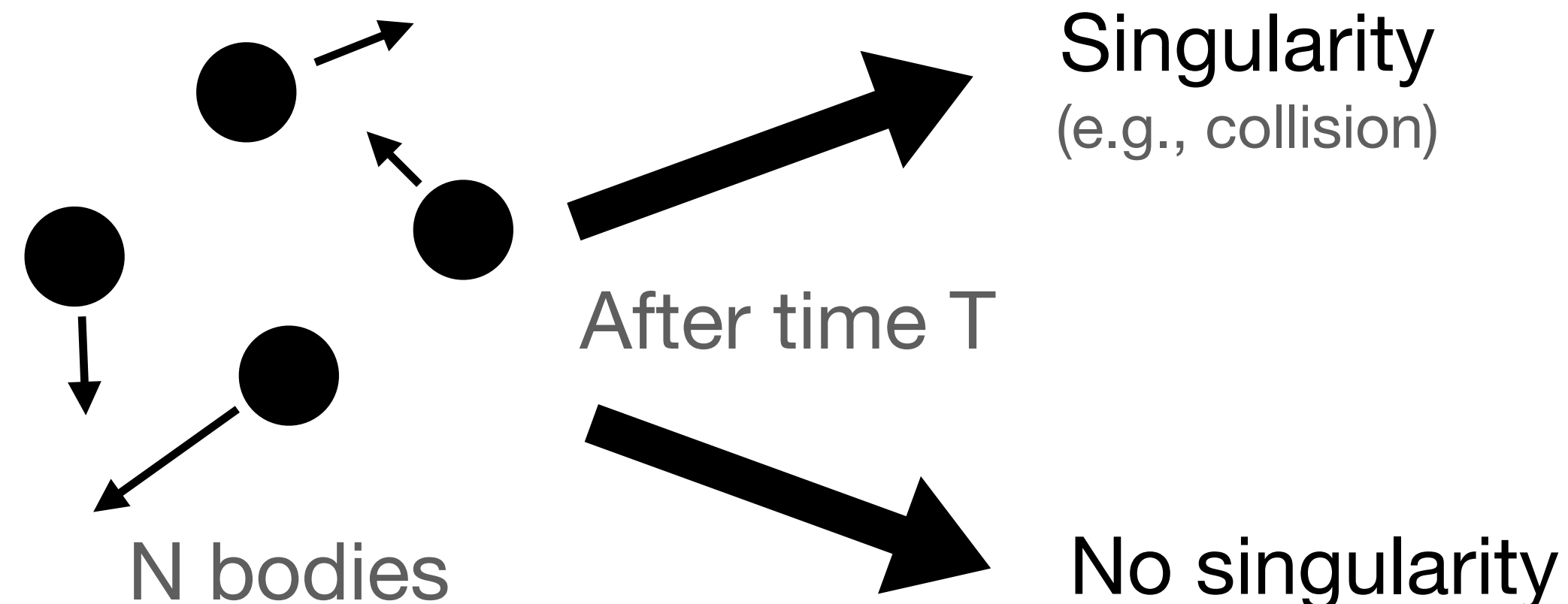
Extended Church-Turing Thesis

All **feasible** computation in the physical world can be done by a Turing machine **efficiently**.

Q: Can we identify some computational problems in classical mechanics that cannot be efficiently computed by a Turing machine?



Andrew Chi-Chih Yao



Lecture I.c

(Jan. 17 10am-11am ET)

* Yao credited the original idea to [Smith 1993].

Summary

Key Concepts

What is Physics and Why Care?

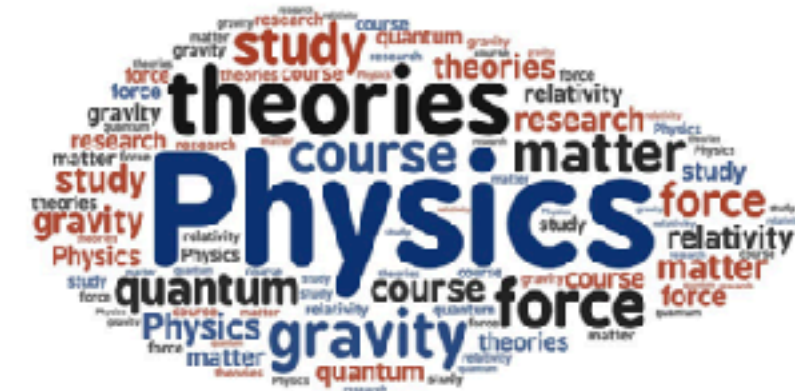
"Physics is the natural science that studies matter, its fundamental constituents, its motion and behavior through space and time, and the related entities of energy and force."

– Wikipedia

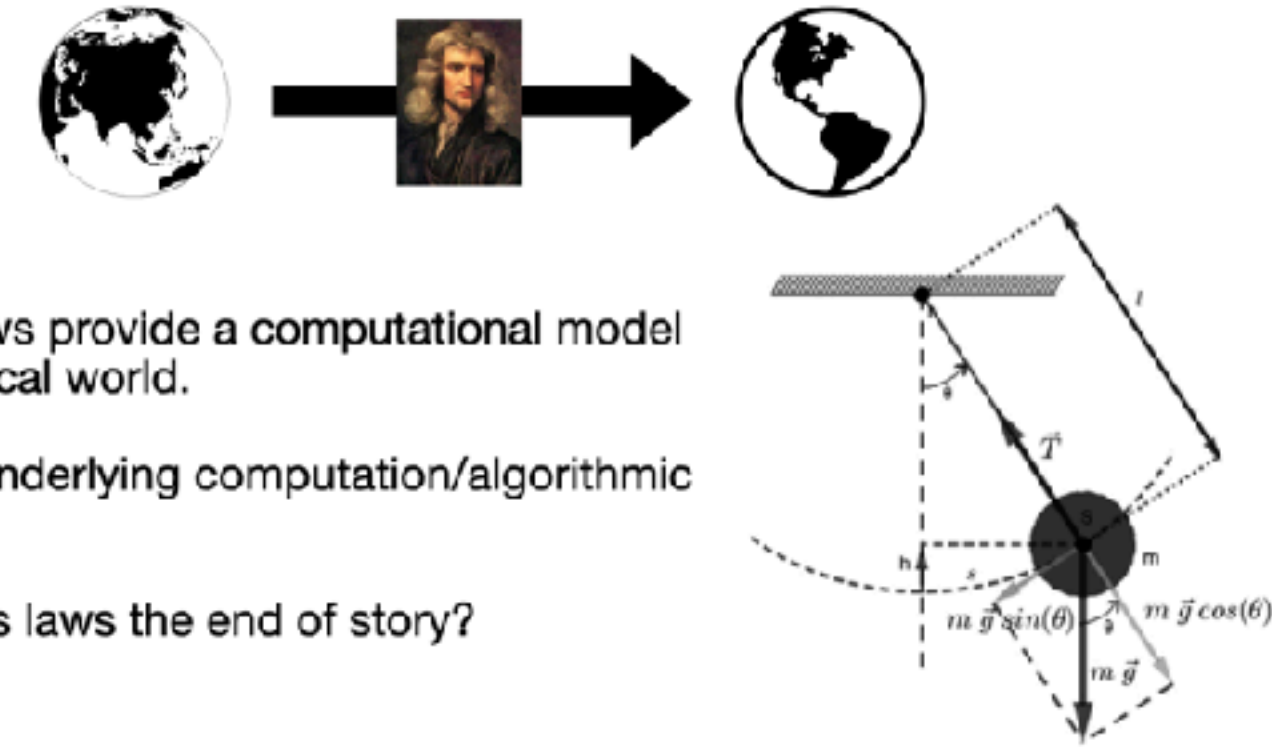
- Providing hardware and methods to perform and implement computations.
- Physical laws themselves are doing certain computations.

Q: What are the computations in the physical world?

Q: Physics as constraints or guidances?

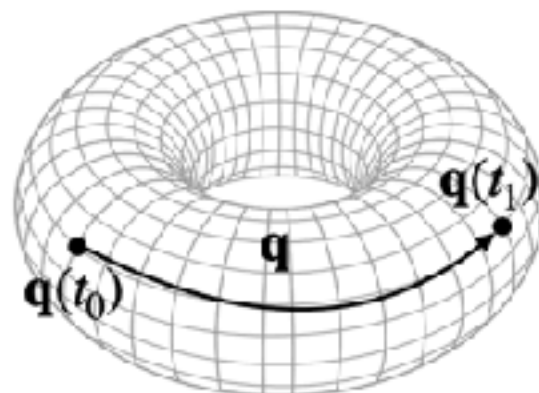


Computational Aspects of Newton's Laws



- Newton's laws provide a computational model for the physical world.
- What's the underlying computation/algorithmic idea?
- Are Newton's laws the end of story?

Classical Mechanics as Optimization



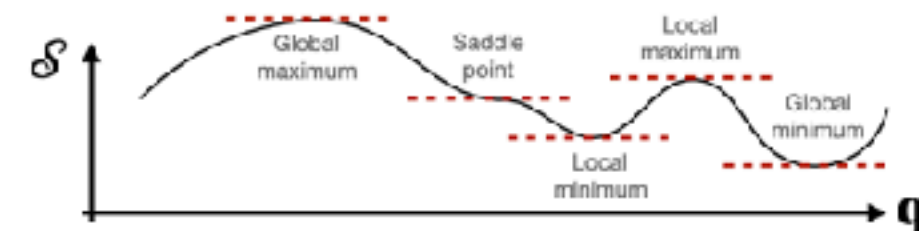
Principle of Stationary Action

The trajectory \mathbf{q} will be the one that minimizes

$$\mathcal{S}[\mathbf{q}] = \int_{t_0}^{t_1} L(\mathbf{q}(t), \dot{\mathbf{q}}(t), t) dt.$$

Principle of least action \Rightarrow

The physical reality locally minimizes the total action



Q: How to find the minimizer? **A:** Euler-Lagrange equations: $\frac{\partial L}{\partial \mathbf{q}} = \frac{d}{dt} \frac{\partial L}{\partial \dot{\mathbf{q}}}$.

* Here we focus on Lagrangian mechanics, other formulations (e.g., Hamiltonian mechanics) and more mathematical details will be covered in advanced sections.

Statistical Mechanics as Computation

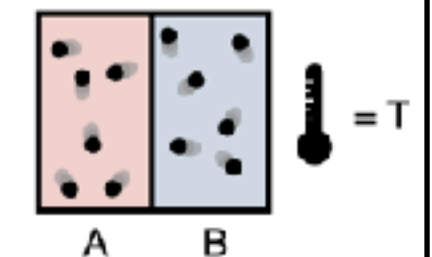
Optimization & Sampling & Counting



Simone
(Jan. 14
2pm-3pm ET)

"Simulated Annealing"

$$\Pr[\text{energy of } A = E] = \frac{\exp(-E/T)}{Z(T)}.$$



Optimization: Microstate with lower energy has higher probability!

Sampling: Start with a random microstate and lower the temperature.

Counting: The partition function $Z(T)$ encodes the number of microstates!

* More mathematical details in advanced sections

Guest Speakers for Module II



Xun Gao
(Jan. 13 11am-12pm ET)

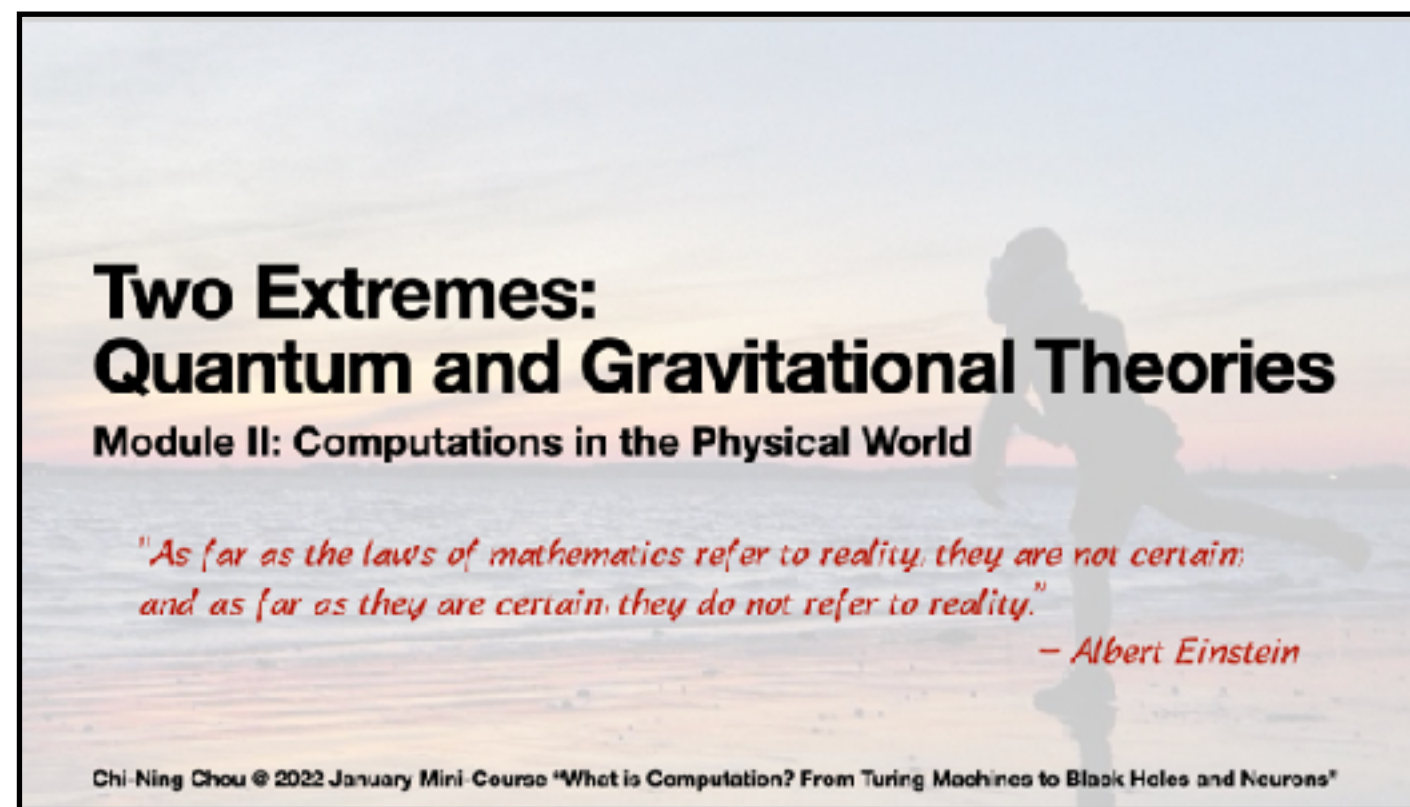
“Quantum Correlation: the Resource to Make Quantum Machine More Powerful”

“Quantum Machine Learning from Algorithms to Reality”



Khadijeh Sona Najafi
(Jan. 14 11am-12pm ET)

Next

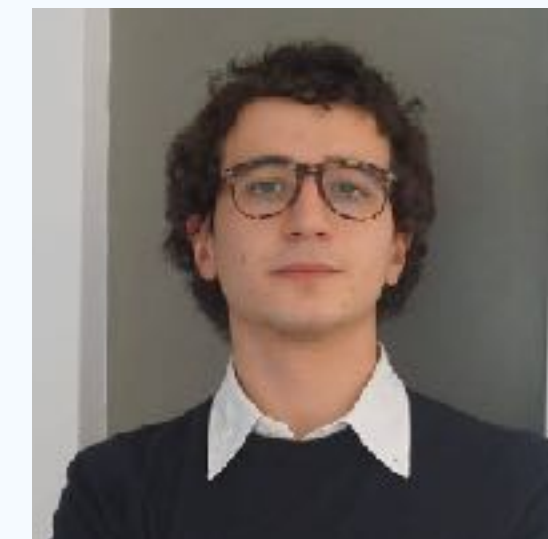


Lecture II.b
(Jan. 13 10am-10:50am ET)



Erick
(Jan. 13
2pm-3pm ET)

“Information Geometry”



Simone
(Jan. 14
2pm-3pm ET)

“Simulated Annealing”

Check them out on the calendar!

Food for Thought

- Q: Do you think the physical world we are living in is deterministic?
- Q: What's the “additional structure” of phase space?
- Q: Can you come up with some examples of ergodicity in daily life?
- Q: Can you come up with some examples of **not** having ergodicity in daily life?

Exercise

- What's the underlying “algorithmic idea” of the stationary action principle?
- Try to derive the Boltzmann distribution from the postulate of equal *a priori* probabilities!
- Try to figure out clearer the connection between partition function and counting problem.

References

Articles:

- Preskill, John. “Quantum computing 40 years later.” arXiv preprint arXiv:2106.10522 (2021), [link](#).
- Gualtiero Piccinini and Corey Maley. “Computations in Physical Systems.” Stanford Encyclopedia of Philosophy, 2010, [link](#).

Introductory Books:

- Feynman, Richard P., Tony Hey, and Robin W. Allen. Feynman lectures on computation. CRC Press, 2018, [link](#).
- Penrose, Roger. The road to reality: A complete guide to the laws of the universe. Random house, 2005, [link](#).
- Mezard, Marc, and Andrea Montanari. Information, physics, and computation. Oxford University Press, 2009, [link](#).

Advanced Books:

- Mézard, Marc, Giorgio Parisi, and Miguel Angel Virasoro. Spin glass theory and beyond: An Introduction to the Replica Method and Its Applications. Vol. 9. World Scientific Publishing Company, 1987, [link](#).
- Sakurai, Jun John, and Eugene D. Commins. “Modern quantum mechanics, revised edition.” (1995): 93-95, [link](#).